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**Applicant**

ANDERSON, Ian, McArthur

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## PATENT COOPERATION TREATY

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AUSTRALIE

Date of mailing (day/month/year) 03 July 2000 (03.07.00)	<b>IMPORTANT NOTIFICATION</b>
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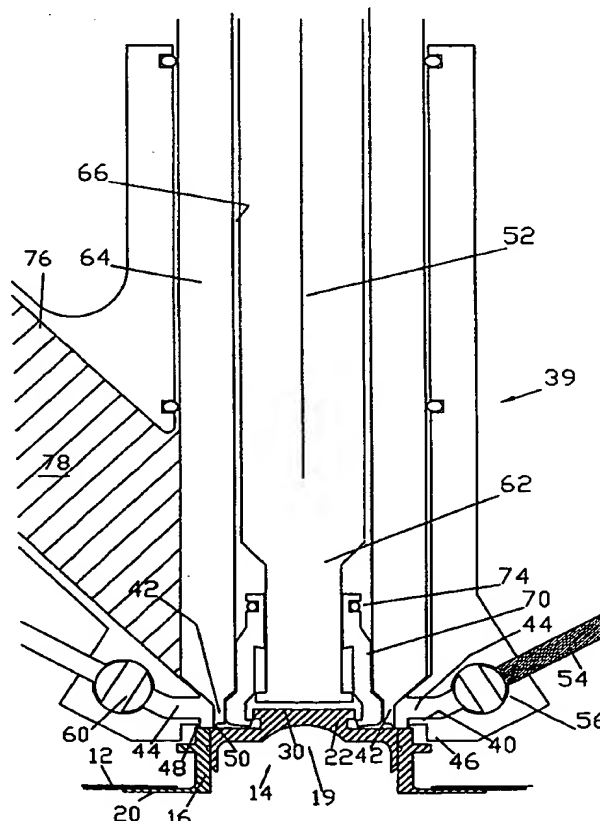
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(54) Title: PLUG AND GLAND ASEPTIC PACKAGE SYSTEM

## (57) Abstract

A sterilisation and filling apparatus, method and plug arrangement for aseptic filling of sterile containers. The apparatus includes a filling nozzle comprising a tubular body (16) with a flow passage (19) therethrough and a plug (22) closing the flow passage; a sterilisation and filling head (39) movable towards and away from the tubular body with outer (40) and inner (42) annular sealing rings engaging annular sealing faces on the tubular body and plug respectively and a sterilisation chamber (44) located inwards of the outer sealing ring, and a cavity in the filling head to receive the plug. Sterilisation fluid supply means supplies fluid to the chamber (44). Plug extractor jaws (70) extract the plug (22) from the tubular body (16) and store the plug in the cavity in the head and filling means fill the container through the head. The plug (22) includes gripping formations for the jaws (70). The plug (22) and tubular body (16) may include ribs and recesses as retaining means and sealing welds or elastomeric rings cooperative before and/or after filling.



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**PLUG AND GLAND ASEPTIC PACKAGE SYSTEM****Field of the invention**

This invention relates to a method of aseptically filling containers, apparatus for the aseptic filling of containers, to containers incorporating an inlet assembly with which the method may be used, and to a plug and gland port for such containers.

**5 Background of the invention**

The filling of pre-sterilised containers in an aseptic manner is known and various systems are employed which utilise different filling apparatus, different containers, and different sterilisation techniques. Specifically, the container to be filled is produced in a manner which ensures that the interior of the container is sterilised during manufacture. During the filling procedure an inlet into the container is opened and a filling nozzle used to fill the container with a selected flowable material. The inlet is then sealed to thereby contain the flowable material within the container until dispensing is required.

To ensure that the contents of the container is kept as free of contaminating bacteria and other micro organisms as possible it is essential that the act of filling the container does not in itself introduce contaminants into the interior of the container. Also, the resealing of the container after it is filled must be done in such a way that a proper seal is achieved so that contamination does not take place during transportation or storage.

Various prior art patents have addressed the aforementioned problems and reference may be made to US Patent 4,805,378 (Anderson), US Patent 2,930,170 (Holsman et al), US Patent 4,542,530 (Thomas et al) and US Patent 4,672,688 (Kalkipsakis). These prior art patents describe systems which are successful to a greater or lesser extent. However, the prior art systems do suffer from certain deficiencies, at least under some filling circumstances.

For example, US Patent 4,805,378 discloses an arrangement in which a flap is positioned across the mouth of the filling inlet which provides some measure of obstruction to the flowable material entering the container. Current food processing plants can produce product at a rate of in excess of 20,000 litres per hour and it is important that the container is able to receive a product at this flow rate in order to avoid providing multiple head filling systems and the like. To achieve filling rates of this order relatively large diameter filling inlets are required into the containers and the flap system disclosed in US Patent 4,805,378 limits the diameter and flow rate into the container. Also, for highly viscous materials, and for materials which contain solid particles, the flap system is not always completely suitable.

The US Patent 4805378 discloses a container which is filled via an upstanding plastics collar, at one end of which a first flange is heat fused to the flexible plastic sheet wall of the container surrounding a filling opening in the container and, at a second flange at the opposite end of the collar, a rupturable sheet plastics membrane is also heat fused. The sheet plastics membrane, which is heat sterilised in manufacture but which most likely would be recontaminated externally before filling, is resterilised immediately prior to filling by a fluid (for example pressurised steam) after being brought into engagement with a filling head of an aseptic filler. In the described method, an incision tool forming part of the filling head, sterilised along with the exterior of the membrane, is advanced to cut the membrane then withdrawn to enable admission of the liquid to be packaged through the collar and through gaps formed between the flap partially heat fused to the flange inside the container.

As disclosed in US Patent 4805378, the cutting of the resterilised membrane involves making a pair of straight incisions, crossed at right angles passing through the centre of the membrane and extending radially outward to a point just inside the outer flange of the upstanding plastics collar. Accordingly, as the liquid or liquid-like product flows into the bag to fill it, the four cut tips or "reversed petals" of the membrane turn inwardly with the flow and extend towards the inner end of the collar where it is connected to the bag in the region that is subsequently sealed closed as described. There are occasionally experienced instances of unreliability with this arrangement in that the four petals of the top membrane, since they remain on the filled sealed package, are difficult to clean underneath to remove remnants of the packaged product inside of the collar

during the flushing cycle. Also, the petals tend to reduce the flow rate of the product into the container during filling which can be disadvantageous from a production point of view with viscous or particulate containing products.

There is furthermore a risk that the tips of the petals might wrap underneath the inside corner of the flange and be caught up in the subsequent final heat sealing operation. If this were to happen there would be a potential for a leakage path to bypass the seal or, at least, a potential source of failure of the seal. Accordingly, the axial height of the collar should be sufficient in relation to the diameter opening to prevent this possibility. In use commercially, the diameter of opening as disclosed in the Anderson patent is known to be in the range of 16-32mm. With the desired future extension of the size of opening up to 60mm or 70mm, using the arrangement disclosed in the patent would require a corresponding increase in axial height of the collar. There would be no other need to increase the height of the collar other than to ensure that the cut petals of the membrane could not enter the sealing region, but such a high profile of collar would be unacceptable in general to fillers and end users of the package alike. It is therefore an object of this invention to provide a method which will overcome the disadvantages associated with a rupturable outer membrane.

Typically, the aforementioned packaging systems are used with high acid products, predominantly tomato paste, orange juice and juice concentrates. It is also known to use this type of packaging system with low acid products, such as milk, cream and egg pulp for example.

Manufacturers are beginning to take advantage of processing system developments and market acceptance, for an increased range of particulate and concentrate products. The types of products currently being considered for packaging are pineapple chunks, diced tomatoes, ready prepared meals, meat sauces, fruit particulates, and various other similar type products. These products come in a range of different acidities and larger diameter filling nozzles are generally required in order to fill containers at the required flow rate and accommodate larger particulate sizes.

Containers having capacity of 1,000 litres or more are typically used for bulk packaging and with increased capacity of processing plants there is currently a need for a high capacity, highly aseptic packaging system that utilises a large diameter filling nozzle and provides a high quality seal after filling and which can be used with low acid products.

### Summary of the invention

According to the invention there is provided a method of aseptically filling an internally sterilised sealed container having a transfer port which comprises a tubular body which is sealed to the wall of the container and defines a flow passage therethrough, and a sealing plug engaged into the passage, the tubular body having an annular outer sealing face thereon which surrounds the flow passage, the method comprising the steps of:

- supporting the tubular body of the container in a selected orientation and position;
- providing a sterilisation and filling head having at least an outer sealing ring thereon which is adapted to engage and seal with the annular sealing face, and a sterilisation chamber located within the outer sealing ring;
- bringing the sterilisation and filling head and the tubular body into engagement with each other so that the outer sealing ring engages and seals with the annular sealing face;
- introducing a sterilisation fluid into the sterilisation chamber to sterilise at least the radially outer part of the plug and that part of the tubular body within the outer sealing ring;
- withdrawing the plug out of the tubular body in a direction away from the container whilst maintaining the sealing ring in sealed contact with the sealing face;
- introducing a flowable material into the container through the tubular body;
- reinserting the plug into the tubular body to thereby close the tubular body; and

- disengaging the sterilisation and filling head and the tubular body from each other.

The method may include the further steps of:

- providing the sterilisation and filling head with an inner sealing ring which is co-axial with the outer sealing ring, the sterilisation chamber being formed in the annular space between the two sealing rings;
- 5 • providing a plug with an annular sealing face thereon which is co-axial with the annular sealing face on the tubular body and is adapted to be engaged by the inner sealing ring;
- bringing the sterilisation and filling head and the tubular body into engagement with each other so that the outer sealing ring engages and seals with the annular sealing face on the body, and the inner sealing ring engages and seals with the annular sealing face on the plug; and
- 10 • introducing the sterilisation fluid into the annular sterilisation chamber.

The method may further include the steps of:

- providing a gripping jaw on the sterilisation and filling head within the outer sealing ring; and
- gripping the plug with the gripping jaw in order to withdraw the plug from the tubular body.

The method may include the further steps of:

- 15 • maintaining the outer sealing ring in sealing engagement with the annular sealing face on the body, and the inner sealing ring in sealing engagement with the sealing face on the plug;
- gripping the plug with the gripping jaw; and
- extracting the plug from the tubular body whilst maintaining the inner sealing ring in sealing engagement with the sealing face on the plug.

20 The method may include the further steps of:

- partially inserting the plug into the tubular body;
- cleaning the peripheral outer surfaces of the plug prior to fully inserting the plug into the tubular body; and
- fully inserting the plug into the tubular body.

25 The step of cleaning the peripheral outer surfaces of the plug may be achieved by introducing a sterilisation fluid into the sterilisation chamber with the plug partially inserted into the flow passage in the tubular body.

The method may include the steps of sealing the plug to the tubular body during or after the plug has been reinserted into the tubular body. The sealing may be achieved by welding the plug in to the tubular body. The welding may be done using high temperature sterilisation fluid, preferably steam.

30 According to a second aspect of the invention there is provided a sterilisation and filling apparatus for aseptic filling of sterile containers having a filling nozzle comprising a tubular body with a flow passage therethrough and a plug for closing the flow passage, at least the tubular body having an annular sealing face thereon, the apparatus comprising:

- holding means for holding the container and/or the tubular body in a selected position;
- a sterilisation and filling head having at least an outer annular sealing ring adapted to engage the annular sealing face on the tubular body, the sterilisation and filling head having a sterilisation chamber located inwards of the outer

sealing ring, the sterilisation and filling head having a cavity therein adapted to receive the plug of a container to be filled, the sterilisation and filling head and/or the tubular body being movable towards and away from the other;

- sterilisation fluid supply means adapted to supply sterilisation fluid to the sterilisation chamber;
- a plug extractor adapted to extract a plug from the tubular body and move the plug into the cavity in the sterilisation and filling head; and
- filling means adapted to fill the container through the sterilisation and filling head when the plug has been extracted.

Preferably the sterilisation and filling head includes an inner sealing ring which is co-axial with said outer sealing ring and spaced inwardly therefrom to define an annular space therebetween, said annular space forming said sterilisation chamber, said inner sealing ring being engageable with a sealing face provided on the plug.

10 The plug extractor may comprise one or more gripping jaws adapted to grip the plug and extract it from the tubular body into the cavity. The jaws may be mounted to a ram which is moveable in an axial direction towards and away from the plug, the jaws being moveable between gripping and release positions. Preferably the jaws automatically move to a gripping position when the ram moves in a direction away from the plug, and move into the release position when the ram moves towards the plug. The ram may be adapted to drive the plug into the tubular passage after the container has been filled.

15 The sterilisation and filling head may be adapted to shut off the flow of filling material into the container prior to the plug being fully inserted into the tubular passage. The sterilisation and filling head may, furthermore, be adapted to clean the plug with sterilisation fluid when the plug is partially re-inserted back into the tubular passage.

20 A further aspect of the invention provides an aseptic container adapted to be filled with a flowable material, the aseptic container having a filling opening comprising a tubular body having a flow passage therethrough, and a plug for sealing the flow passage, the plug having gripping formations on the outer face thereof, and retaining means or formations thereon for operatively or cooperatively locking the plug into the flow passage.

Preferably said gripping formations will allow the application of an axially directed force to be applied to the plug to remove or re-install the plug into the filling opening. Alternatively the gripping formations will allow the applications of a rotational force to be applied to the plug to remove or re-install the plug into the filling opening.

25 Preferably the plug is removed and reinstalled into said opening by axial means, preferably of a slide or interference fit. Alternatively the plug and opening may include a screw thread or cam or bayonet locking means.

30 Optionally the plug may be cup shaped having an end wall and a cylindrical skirt depending from the end wall, the end wall adapted to be outermost when the plug is inserted into the flow passage. The gripping formations may be formed on the end wall and project in a direction which is opposite to that in which the skirt extends from the end wall. The gripping formations may take the form of a head which stands proud of the end wall. The head may be undercut to provide purchase for the gripping jaw which is adapted to extract the plug from the flow passage. The locking formations may comprise a radially outwardly projecting annular rib formed on the plug, said rib being adapted to locate behind a shoulder, end face or within a groove formed in or adjacent the flow passage. The flow passage and/or the plug may have an annular seal therein adapted to seal with a plug inserted into the annular passage.

35 The plug and/or the tubular body may both be formed of a thermoplastic material adapted to bond together under temperatures of between about 130°C and 180°C.

The plug and the tubular body may be sealed together during manufacture. That seal may be mechanically rupturable, or it may be adapted to be weakened under temperatures of between about 130°C and 180°C thereby providing an arrangement for simplified extraction of the plug after it has been sterilised by a high temperature sterilisation fluid.



According to a further aspect of the invention there is provided a plug and gland port for use on an aseptic container, said port comprising:

a tubular body having a flow passage therethrough defined by a cylindrical inner wall of the tubular body, and

a plug for sealing the flow passage, the plug having gripping formations on the outer face thereof, and retaining means or formations thereon for locking the plug into the flow passage,

said retaining means comprising an annular recess formed around the periphery of the plug, and an annular rib or lip formed around and standing proud of the cylindrical inner wall of the tubular body, the rib or lip being adapted to locate in the recess to form a locating and/or sealing engagement with the recess when the plug is operatively installed within the tubular body.

Preferably the annular recess on the plug is at least partially filled with a sealing ring. The sealing ring may be in the form of a low melt sealant deposited in said recess. The low melt sealant may comprise a material such as a polyolefin elastomer.

Preferably the rib or lip on the cylindrical inner wall is spaced a first distance away from the operatively outer end face of the tubular body. Preferably the annular recess on the plug is spaced a second distance away from the operatively outer end face of the plug, said second distance being less than said first distance.

The plug may have a second annular recess formed around the periphery thereof, said second annular recess being spaced from the first annular recess, the second annular recess being spaced a distance away from the operatively outer end face of the plug by a distance which is substantially the same as the distance which the rib or lip is spaced away from the operatively outer end face of the gland so that when the rib or lip is located within the second annular recess the operatively outer end faces of the gland and the plug are substantially flush with each other. Prior to filling the container the gland and plug may be welded together.

The rib or lip may have a generally triangular form in cross section so as to provide a chamfered or severed face in both an outwardly facing direction and an inwardly facing direction to allow for simplified engagement and disengagement of the plug with the gland.

These and further features of the invention will be made apparent from the description of preferred embodiments thereof given below by way of examples. In the description reference is made to the accompanying drawings, but the specific feature shown in the drawings should not be construed as limiting on the invention.

In this specification and claims, where the words "comprising", "comprised" or words derived therefrom are used, those terms are to be interpreted inclusively rather than exclusively.

#### **Brief description of the drawings**

Figure 1 shows a cross sectional half view through part of a container and the transfer port into the container according to the first embodiment of the invention, the other half view being a mirror image of figure 1.

Figure 2 shows the cross sectional side view of a sterilisation head according to the invention in engagement with the port shown in figure 1;

Figure 3 shows a similar view to that of figure 2 with sterilisation fluid sterilising the outer surface of the transfer port;

Figure 4 shows a similar view to that of figures 2 and 3 with the plug removed from the tubular body of the transfer port and with filling material being introduced to the container;

Figure 5 shows a similar view to that of figure 3 but with the inlet partially closed by the plug partially inserted into the inlet and with sterilisation fluid being used to flush and clean the plug;

Figure 6 shows a similar view to that of figure 5 with the plug fully inserted into the tubular body;

Figure 7 shows the sterilisation and filling head and the transfer port separated from each other;

Figure 8 shows a cross-sectional side view of a second embodiment of sterilisation and filling head with the transfer port in engagement with the head;

5 Figure 9 shows a similar view to that of Figure 8 with the plug lifted out of the tubular body;

Figure 10 shows a similar view to that of Figures 8 and 9 with the plug partially closed; Figure 11 shows a similar view to that of Figure 8 but with the plug fully closed;

Figures 12 to 16 show cross-sectional side views of a third embodiment of sterilisation and filling head according to the invention in engagement with a transfer port in different stages of the sterilisation and filling operation;

10 Figures 17 to 20 show cross-sectional side views of different embodiments of transfer port according to the invention;

Figure 21 shows a cross sectional side view through a plug and gland port according to the invention prior to filling;

Figure 22 shows an enlargement of the interface between the plug and gland in the position shown in Figure 21;

Figure 23 shows a cross sectional side view of the plug and gland port after the container has been filled; and

Figure 24 shows an enlargement of the interface between the plug and gland in the position shown in Figure 23.

## 15 Detailed description of the embodiments

Turning initially to Figure 1, a container 10 is shown having a flexible wall 12 with a transfer port 14 therethrough which is used to introduce a flowable material into the container and through which the material may be, but is not necessarily, dispensed from the container. The transfer port 14 includes a tubular body 16 (also referred to in the art as a nozzle or gland) having a cylindrical inner wall 18 which defines a flow passage 19 through the body. An outwardly directed flange 20 serves as a bonding surface to which the container wall 12 is affixed thereby providing a fluid tight seal between the container wall and the body 16. A plug 22 is provided for closing the passage 19 through the tubular body 16, the plug 22 having an end wall 24 and a skirt 26 which is attached to the periphery of the wall 24 and has an outer surface 28 which is a tight friction fit with the inner wall 18 of the body. The wall 24 has an upstanding head 30 which is undercut as indicated at numeral 32 to define a gripping region for the extraction of the plug out of the body 16. The outer face 34 of the tubular body is generally perpendicular to the axis 36 of the tubular body and defines a sealing face with which a sterilisation and filling head 39 will engage, as described in more detail herebelow. The outer face 38 of the plug is similarly perpendicular to the axis 36 and also defines a sealing face with which the sterilisation and filling head will engage.

Turning to Figure 2 of the drawings, a sterilisation and filling head 39 is shown comprising an outer sealing ring 40, an inner sealing ring 42 between which is formed a sterilisation chamber 44. The outer sealing ring 40 has a downwardly extending flange 46 which locates around the outer periphery of the tubular body 16, and the sealing ring 40 includes a sharp edged blade 48 which is adapted to engage with and bite into the sealing face 34 on the body 16. The inner sealing ring 42 similarly has an annular blade 50 which is adapted to bite into and seal with the sealing face 38 on the plug 22.

In order to commence the filling operation the sterilisation and filling head 39 and the upper surface of the transfer port 14 are brought into engagement with each other, as shown in figure 2. This is most conveniently done by gripping the transfer port with gripping jaws (not shown) and lifting the transfer port in the direction of axis 52 until the sealing faces 34 and 38 engage and seal with the sealing rings 40 and 42 respectively.

The sterilisation and filling head is provided with a sterilisation fluid supply line 54 which leads into the sterilisation chamber 44 and which is controlled by an inlet valve 56. A sterilisation fluid discharge line 58 leads from the sterilisation chamber 44

and is controlled by an exit valve 60. The sterilisation fluid will generally comprise steam supplied under pressure at a temperature of between 130°C and 180°C.

The inner sealing ring 42 is formed on the end of a sliding sleeve 64 which is slidable along axis 52 towards and away from the transfer port 14. The sliding sleeve 64 serves as a control valve for controlling the flow of a flowable product into the container, as is described in more detail below.

An axially moveable plunger or ram 62 is moveable along axis 52 within a cylindrical cavity 66 formed within the sleeve 64. The ram 62 has a series of gripping jaws 70 fitted to the end thereof which are spring loaded by means of a spring 74. The gripping jaws 70 are adapted to engage with the head 30 of the plug 22 in order to pull the plug 22 out of the tubular passage 19.

The sterilisation and filling head 39 is provided with a product supply passage 76 through which product to be filled into the container 10 is fed through the head. When the sleeve is retracted to the position shown in Figure 4 product will flow into the container through the passage 76.

In use, the apparatus operates substantially as follows. Firstly, the tubular body 16 is brought into engagement with the outer sealing ring 40 so that the blade edge 48 embeds into the sealing face 34. The tubular body 16 will be held under pressure against this blade edge 48 for the entire filling process so that a seal will be maintained. Simultaneously the inner blade edge 50 will bed into the sealing face 38 of the plug 22. At this stage the sterilisation cavity 44 will be a sealed cavity. It will be noted that the outer sealing ring 40 and the inner sealing ring 42 are located on opposite sides of the interface between the tubular body 16 and the plug 22.

In this position, the sterilisation head will be tightly clamped against the transfer port 14, and the ram 52 will be lifted causing the jaws 70 to clamp tightly around the head 30, thereby gripping the head 30. Thereafter, the sterilisation chamber 44 will be flushed with a high temperature sterilisation fluid, typically steam under pressure, to thereby clean all exposed surfaces within the sterilisation chamber of any contaminating micro organisms. It should be noted that since the gap between the inner and outer sterilisation rings is small, only a small area of the transfer port needs to be sterilised which allows for relatively high temperature sterilisation, and short exposure time.

Once sterilisation has taken place, and this will generally take between two and five seconds at 150°C, the sleeve 64 will begin moving upwardly and in so doing the plug 22 will be pulled out of the tubular body 16 to the position shown in figure 4 of the drawings. As shown in figure 4, the plug 22 is suspended in a cavity within the sealing head above the tubular body 16 and the supply passage is opened.

The product 78 to be filled into the container will then be supplied through the supply passage 76, the product 78 passing down the flow passage 19 and into the container. It will be noted that the product 78 comes into contact with the underside of the plug 22 as well as the skirt portion of the plug 22. However, the product does not come into contact with any surface which has not been rendered bacteria free as a consequence of either sterilisation during manufacture of the container or the sterilisation operation referred to above. Thus, the product will in no way be contaminated during the filling process. Provided the product itself is bacteria free at the time it is introduced into the container it should receive no bacteria contamination during the filling process and should therefore be bacteria free within the container.

Once the container is filled, the plug 22 will be replaced into the tubular body 16. This process is shown in figure 5 of the drawings. As shown, the plug 22 is pressed into the tubular body so that the skirt 26 enters and engages with the cylindrical surface 18. At this point, it will be noted, the ports 56 and 60 have again been opened so that steam flushes through the sterilisation chamber as the plug is being closed.

Described below is an arrangement in which the steam which is used to evacuate the sterilisation chamber after closure of a plug may be used to clean substantially the entire outer surface of the plug as the plug is being introduced into the passage 19.

In the embodiment shown in figure 5, however, the steam will clean and evacuate the sterilisation chamber and upper surfaces of the plug and tubular body between the outer and inner sealing rings.

Once the transfer port has been cleaned in the manner described and depicted in figure 5, the plug can be pushed further into the passage 19 as indicated in figure 6 of the drawings. It will be noted that the inner wall 18 of the tubular body has an annular groove 82 which lies just below the sealing surface 34. The plug has an outwardly directed lip 84 on its outer edge and when the plug is pressed into the passage 19 so that the surface 38 lies below the surface 34 the lip 84 will locate in the groove 82 to provide a locking arrangement between the plug and the tubular body. Optionally the groove 82 may have an annular elastomeric seal 86 located therein and the lip 84 will engage with that seal 86 to form a bacteria proof sealing arrangement.

It will be noted that as the ram 52 moves the plug inwardly from the position shown in figure 5 to the position shown in figure 6 the jaws 70 will automatically disengage from the head 30 to allow the plug 22 to be pressed further into the tubular body 16.

It will be noted that towards the lower end of the sleeve 64 a tapered or bevelled sealing surface 88 is formed. This sealing surface 88 is adapted to engage and seal with a seat 90 which is defined within the sterilisation and sealing head just above the sterilisation chamber. When the sleeve 64 moves to a closed position, as shown in Figure 5, the surface 88 will engage and seal with the seat 90 to form a fluid tight seal. It is envisaged that this seal will be a metal to metal seal or some other form of hard seal which will form a positive stop for the downward movement of the sleeve 64. This will allow the sleeve 64 to be moved up and down using pneumatics.

The hard seal will serve to sever or shear any particulate materials that might otherwise be trapped as the sleeve 64 moves to the closed position.

Clearly there may be many forms of interlocking arrangements which may be provided between the plug and the tubular body. What is important is that no micro passageway exists for the passing of micro organisms between the plug and the tubular body which could otherwise compromise the integrity of the seal provided between the plug and the tubular body.

Alternative arrangements for sealing the plug in the tubular body include some form of welding system. For example, either the plug or the tubular body, or both, may be formed of a material which will soften in the presence of the high temperature sterilisation fluid and, when so softened, weld the plug and the tubular body together as the plug is fully inserted into the tubular body to thereby form a seal between these two components which is bacteria proof. It will also be possible to provide a third component which will melt in the presence of the high temperature steam and form a bacteria proof seal between the plug and the tubular body. Some form of hot melt adhesive, for example, coated onto the outer surface of the skirt 26 could achieve the desired welding type seal arrangement. These aspects are discussed in more detail below.

Turning now to Figures 8 to 11 of the drawings, a second embodiment to the invention is shown which is similar to that of the first embodiment except for a difference in the manner in which the sleeve 64 serves to close off the flow of product through the supply passage 76. In this description parts which are similar to or the same as those referred to in the previous embodiment have been given the same numbers. These parts will not be described again.

As shown, the sleeve 64 has a sealing surface 88 on the lower end thereof which is adapted to seal with a correspondingly tapered seat 90 on the sealing head. However, seat 90 has been spaced some distance lower than that of the previous embodiment. The sterilisation and filling head in this embodiment is provided with an additional sliding seal 92 which is adapted to seal with the outer surface 94 of the sleeve 64 as the sleeve 64 moves down towards its closed position. Thus, as the sleeve moves downwardly from the open position shown in figure 9 to the partially closed position shown in figure 10, the outer surface 94 of the sleeve 64 will engage the sliding seal 92 to close off the flow of product prior to the tapered sealing surface 88 contacting the seat 90. This has the advantage that steam under pressure, as shown in Figure 10, can be introduced into the sterilisation chamber 44 prior to the plug 22 being fully inserted into the tubular body 16. As shown clearly in figure 10, the skirt 26 of the plug 22 is exposed in the partially closed position shown in figure 10 so that the other surface 28 of the

skirt can be cleaned by sterilisation fluid, generally steam. It is envisaged that in a partially closed position shown in figure 10 steam will be introduced into the sterilisation chamber 44 to clean substantially all product off the outer surface 28 of the plug 22. Thus, when the plug is fully inserted into the tubular body 16 as shown in figure 11, the surface 28 will have been cleaned and therefore micro passages which might otherwise have remained as a consequence of a product being trapped between the surfaces 28 and 18 will to a substantial extent be eliminated.

A further advantage of clearing the surface 28 of the plug 22 with high pressure, high temperature steam is that where it is desired to weld the plug 22 into the tubular body 16 the steam will serve to soften the outer surface of the plug. These two components will then weld together when the plug is in its closed position.

Turning now to Figure 12, Figure 13, Figure 14, Figure 15 and Figure 16 of the drawings, a sterilisation and filling head similar to the previous embodiment is shown which is also used to close off the flow of product into the container prior to the plug being fully closed.

In this embodiment, the sterilisation and filling head 98 has a moveable sleeve 100 which is used to lift the plug 22 out of the tubular body 16 and also serves to open and close the filling passage 76. The sleeve 100 is formed of an inner sleeve 102 and outer sleeve 104 which are moveable relative to each other. The inner sleeve 102 has a sharp lower edge 106 which is adapted to engage the sealing surface 38 on the plug 22. The outer sleeve 104 has a bevelled lower edge 108 which is arranged to engage and seal with the seat 90 of the sterilisation and filling head. A sliding seal 110 seals the gap 112 between the inner sleeve 102 and outer sleeve 104.

Illustrated in Figure 12, the ram 62 is shown in an extended position relative to the gripping jaws 70. This keeps tips 71 of the gripping jaws 70, which engage the undercut 32 of plug 22, in an unengaged position whereby the tips 71 are clear of the undercut 32.

As illustrated in figure 13, as the ram 62 is retracted, a flange 73 on its lower end engages an internal shoulder 75 on the jaws 70. This moves the jaws 70 axially away from the tubular body 16 which forces ramps 77 on each of the jaws 70 to engage ramps 79 on the inner sleeve 102. This forces the tips 71 to engage the plug beneath the undercut 32.

In the condition illustrated in Figure 13 sterilisation fluid enters the sterilisation chamber 44 as in the embodiment of Figures 2 to 7 or 8 to 11.

Once sterilisation of the portions of the plug 22 and tubular body 16 which are exposed in sterilisation chamber 44 has been completed, the inner sleeve 102, ram 62 and plug 22 are retracted together until the outer surface 38 of plug 22 engages the extremity of ramps 79 as seen in Figure 14. With each of items 104, 102, 62 and 22 maintaining their positions relative to each other, the sleeve 104, sleeve 102, ram 62 and plug 22 are retracted in unison to the positions as illustrated in figure 15. This is the most preferred method of retraction as it minimises the amount of outer surface 38 of plug 22 which will be exposed to the product 78 flowing thereover. This will thus decrease the possibility of contamination.

A less preferred retraction scheme is to allow the sleeve 104, sleeve 102, ram 62 and plug 22 to retract in unison in the positions as illustrated in figure 13. Then once the sleeve 104 has retracted to its fullest extent this will leave the plug 22 somewhat occluding the passage of the product 78. So as to minimise the occlusion, the sleeve 102, ram 62 and plug 22 can be moved in unison relative to the sleeve 104 until the outer surface 38 of plug 22 engages the ramp 79 as illustrated in figure 15. Clearly, this has a greater probability of contaminant or food product being trapped between sleeves 102 and 104, but this contaminant will be cleared once flushing occurs just after the plug 22 is placed back in the gland 16.

If desired the circumference of the plug 22 can be decreased or the internal diameter of the sleeve 104 increased so that the plug 22 can move into the sleeve 104 and thus produce a circumferential seal around the plug 22. This will ensure that no part of the outer surface 38 will be able to hold particulate. To do this an interference fit between the plug 22 and sleeve 104 is preferred but not to a degree which will make the removal of the plug 22 from the sleeve 104 difficult.

Once the outer sleeve 104 has retracted to fully open the passage 76, as illustrated in Figure 14, the inner sleeve and plug 22 are potentially occluding the passage 76. If the opening is not sufficient, the inner sleeve 102 and ram 62 move together until such time as the upper surface of the plug 22 engages the bevelled lower edge of the outer sleeve 104, as is illustrated in Figure 15. If desired, this step of having the upper surface of plug 22 engaging the bevelled lower edge of the outer sleeve 104 can be done prior to the outer sleeve 104 disengaging from the tapered seat 90.

Once filling has been completed, the outer sleeve 104, inner sleeve 102 and ram 62 are moved together axially towards the tubular body 16.

As illustrated in Figure 16, once the outer sleeves 104 closes passage 76 by engaging tapered seat 90, the inner sleeve and ram have stopped simultaneously therewith.

At this point, as illustrated in figure 16 the valve 56 is opened so as to introduce sterilising fluid into the sterilising chamber 44.

The sterilising fluid will sterilise and flush any food product which remains in the sterilising chamber 44 to thereby clean the side surfaces of the plug 22 prior to closing.

The exposure to the side surfaces of the plug 22 to the temperature of the sterilising fluid will soften them thereby helping to create a seal when the plug 22 is pushed into the tubular body 16, as has been described with respect to the embodiment of figures 2 to 7 or 8 to 11.

Clearly, by providing a facility whereby the inner sleeve 102 may be moved relative to the outer sleeve 104 the plug 22 can be moved to a partially open position, or a fully open position, when the outer sleeve is still in engagement with the seat 90, thereby closing off the filling passage 76.

Likewise, during the closing of the filling passage 76, the outer sleeve 104 can be first moved into a closed position against the seat 90 whilst the plug is in an open, or partially open condition. This will allow the outer surface of the plug 22 to be cleaned with sterilisation fluid in a manner described above with reference to the previous embodiment. Clearly the ability to close the filling passage 76 using the outer sleeve 104 whilst being able to independently manipulate the plug 22 may be advantageous in certain circumstances

Turn now to Figure 17, Figure 18, Figure 19 and Figure 20 of the drawings. Various different types of transfer port arrangements are shown in these figures. Clearly these are not the only kinds of transfer ports which might be used but these four embodiments do show the types of ports which might be considered for different applications.

Turning first to Figure 17, it will be noted that the plug 22 and transfer port 16 have a seal 114 therebetween which will seal off the gap 116 between the plug 22 and transfer port 16. The seal 114 will, it is envisaged, be adapted to melt, or at least soften when heated by the sterilisation fluid. Thus, when sterilisation of the transfer port 16 is taking place prior to the plug 22 being removed from the tubular body 16, the seal 114 being exposed to hot sterilisation fluid, will melt, and the plug 16 may thereafter be extracted from the tubular body 16. The seal 114 will, however, have ensured that no contaminating micro organisms could have entered into the gap 116 between the plug 22 and the tubular body 16.

A different seal arrangement is shown in the right hand side of the Figure 18 embodiment. In this arrangement an outer surface 119 of the plug 22 has a adhesive material 118 coated on the thereon which is adapted to bond to the inner surface 120 of the tubular body 16. Thus, when the outer surface 119 of the plug 22 is heated during the closure operation, as described above with reference to the second and third embodiments of the invention, this adhesive material 118 will soften so that when the plug 22 is closed, as shown in the Figure 18 drawing, adhesive 118 will bond to the surface 120. This will form a permanent bond between the plug 22 and tubular body 16 thereby ensuring that the seal between these two components will not be compromised after the container has been filled.

The embodiment shown in Figure 19 is similar to that shown in Figure 1. The seal is achieved by an elastomeric seal 122 which is located in a groove 124 formed in the inner wall of the tubular body 16. The elastomeric seal 122 may be adapted to bond with the outer wall of the plug 22, particularly where the plug 22 has been heated during the closing operation. The plug 22 also has a pair of outwardly directed ribs 126 which are located in corresponding grooves 128 formed in the inner wall of the tubular body 16.

In the embodiment shown in Figure 20, the plug 22 has a relatively deep skirt 130 having an outwardly directed flange 132 on the lower edge thereof and an outwardly directed flange 134 on the upper edge thereof which locates in a recess 136 formed in the tubular body 16. Provided the plug 22 is a tight sliding fit within the tubular body 16, the combined effect of the flange 132 and 134, and the lengthy face to face contact between the plug 22 and the tubular body 16 should ensure that the seal between the plug 22 and the tubular body 16 is not compromised. Also, the outwardly directed flange 132 will have a wiping effect as the plug 22 is inserted into the tubular body 16 to ensure that the inner surface of the tubular body 16 is relatively free of product when the plug 22 is inserted into the tubular body 16. The outer surface of the plug 22 may also be cleaned during the insertion process to ensure that both surfaces are substantially free of product when the plug 22 is fully inserted into the tubular body 16.

The upstanding head 30 of the plug 22 of Figure 20 does not include an undercut 32 as do the other embodiments previously described. In Figure 20, the head 30 is engaged by a claw 70A having a sharp projection 70B at its terminus. The projection 70B will be forced into the material of the head 30 when the jaws 70A are moved axially away from the tubular body 16 causing the ramp 77A to engage ramp 79A thus forcing the projection 70B in the direction of the head 30.

In the above described embodiment when sealing of the plug 22 within the gland 16 after the container has been filled, it is best to ensure that contaminants do not enter the container along a pathway defined at the interface between the gland 16 and the plug 22. However, it is also important that the plug 22 is relatively easily removable from the gland 16 for filling purposes. Also, after the container has been filled, it is important that the plug 22 is relatively easily insertable into the gland 16 and, once inserted, is relatively easily removable from the gland 16 in order to decant the contents of the container through the gland 16.

Whilst it is possible to form both the plug 22 and the gland 16 to relatively close tolerances, it is unsafe to rely only on those close tolerances to ensure that the integrity of the seal between the plug 22 and the gland 16 is maintained. Also, if the fit between the plug 22 and the gland 16 is made too tight then insertion of the plug 22 into the gland 16, and the subsequent removal of the plug 22 from the gland 16, are made that much more difficult and can lead to failure of the system either on closing or on opening which, in turn, can lead to loss of contents of the container.

Typically the container and gland 16 will be sterilised internally after manufacture, generally by ionising radiation. It is essential in a practical sense that the interior of the container is maintained in a sterile condition prior to being filled so that material introduced into the container is introduced into a sterile environment. To aid in this maintenance of sterility the embodiments of Figures 21 to 24 will be of assistance.

As shown in Figures 21 and 22, a plug and gland port includes a gland 16 and a plug 22. The gland 16 is comprised of a tubular body which defines a passage 14 therethrough and has an inner cylindrical wall 18. The gland 16 is fitted to a wall 12 of a container and fluid material is introduced into the container through the passage 14. The gland 16 has an outer end face 34 and an outwardly directed rib 120 extends around the periphery of the gland. The rib 120 serves to strengthen the gland and ensure that it does not deform during the filling process or when the plug 22 is inserted into or removed from the gland 16.

The plug 22 includes an end wall 24 and a skirt 26, the outer surface of this skirt 26 being a close sliding fit with the cylindrical wall 18. The plug 22 has an upstanding head 30 which is undercut as shown at numeral 32 so that the plug can be gripped and removed from the gland 16 or reinserted into the gland 16 as required.

The radially outer surface of the skirt 26 has a first annular recess 122 formed therein which is filled with an elastomeric sealing ring 124. The sealing ring 124 is preferably formed of a low melt point sealant such as polyolefin elastomer.

The plug 22 and the gland 16 need not be formed of the same material. The gland may, for example, be formed of polyethylene and the plug may be formed of a material such as polypropylene.

5 The skirt 26 has a second annular recess 126 formed therein located on the radially outer surface of the skirt near the innermost end thereof.

10 The wall 18 of the gland 16 has an annular rib or lip 128 formed therein which is best seen in Figure 2 of the drawings. It will be noted that the rib 128 has a generally triangular form in cross section so that the outwardly facing surface 130 and the inwardly facing surface 132 both have a tapered or bevelled configuration to facilitate the engagement of the rib 128 in the groove 126. It will be noted that the end 134 of the skirt 26 is also of tapered or bevelled configuration to facilitate the insertion of the skirt into the gland.

In the position shown in Figures 21 and 22 the end face 38 of the plug 22 is flush with the outer end face 34 of the gland 16. This will be the condition prior to the container 12 being filled with material.

15 If necessary, the plug and the gland may be sealed together, prior to filling, by providing a temporary weld or seal as shown at detail 136 in Figure 22. As is known in the art, the interior of the bag, and the interior of the gland, are sterilised after manufacture by an appropriate sterilisation technique, typically radiation. To ensure the integrity of the seal prior to filling the interface between the plug 22 and the gland 16 may be shaped and welded together as indicated in detail 136 to thereby define a frangible weld 138 at the interface. When it is desired to fill the bag the plug 22 will be removed from the gland 16, breaking the weld 138. However, during filling the region will first have been sterilised in the manner described above with reference to  
20 Figures 1 to 20.

After the bag has been filled the plug will be reintroduced into the gland 16, but will be pushed further into the gland, to the position shown in Figures 23 and 24 of the drawings. In this position the recess 122 will be located adjacent the rib 128 so that the rib 128 embeds itself within the elastomeric sealing ring 124. Preferably the elastomeric sealing ring 124 will have been heated during the closing procedure by sterilisation steam introduced against the elastomeric sealing ring 124 after the plug has  
25 been partially introduced into the gland. This procedure is described in detail above.

After the elastomeric sealing ring 124 has been heated the plug 22 will be pushed further into the gland 16 to the position shown in Figures 23 and 24 wherein the rib 128 is embedded within the elastomeric sealing ring 124. In this position, the elastomeric sealing ring will cool, and at least partially solidify to thereby lock and seal the plug 22 within the gland 16. The material from which the elastomeric sealing ring 124 is made will therefore preferably be of a type which will partially melt or plasticise at steam temperatures in a relatively short period of time.  
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To remove the plug from the gland 16 the plug will be gripped and pulled outwardly thereby breaking the seal between the sealant 124 and the rib 128.

There may be various alterations to the above described embodiment without departing from the scope of the invention. For example, there may be a plurality of ribs 128 with a corresponding plurality of recesses 122, each filled with a suitable sealant 124 to thereby improve the integrity of the seal. Similarly, the materials from which the plug, the gland, and the sealant are made could vary from that described herein. Also, necessary variations will need to be made where different packaging systems are employed.  
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It should be understood in this specification that the terms "up", "down", or "above" and "below" are not intended to indicate that the filling operation must be conducted in a particular orientation. Those terms are simply intended to assist with the description of the preferred embodiments and indeed it is envisaged that the system could well be used to fill horizontally or  
40 vertically or at an inclined angle. These terms should therefore not be in any way limiting on the ambit of the invention.



It will be understood that the invention disclosed and defined herein extends to all alternative combinations of two or more of the individual features mentioned or evident from the text or drawings. All of these different combinations constitute various alternative aspects of the invention.

The foregoing describes embodiments of the present invention and modifications, obvious to those skilled in the art can be made thereto, without departing from the scope of the present invention.

**Claims**

1. A method of aseptically filling an internally sterilised sealed container having a transfer port which comprises a tubular body which is sealed to the wall of the container and defines a flow passage therethrough, and a sealing plug engaged into the passage, the tubular body having an annular outer sealing face thereon which surrounds the flow passage, the method comprising the steps of:

- supporting the tubular body of the container in a selected orientation and position;
- providing a sterilisation and filling head having at least an outer sealing ring thereon which is adapted to engage and seal with the annular sealing face, and a sterilisation chamber located within the outer sealing ring;
- bringing the sterilisation and filling head and the tubular body into engagement with each other so that the outer sealing ring engages and seals with the annular sealing face;
- introducing a sterilisation fluid into the sterilisation chamber to sterilise at least the radially outer part of the plug and that part of the tubular body within the outer sealing ring;
- withdrawing the plug out of the tubular body in a direction away from the container whilst maintaining the sealing ring in sealed contact with the sealing face;
- introducing a flowable material into the container through the tubular body;
- reinserting the plug into the tubular body to thereby close the tubular body; and
- disengaging the sterilisation and filling head and the tubular body from each other.

2. A method as claimed in claim 1, wherein said method includes the steps of:

- providing the sterilisation and filling head with an inner sealing ring which is co-axial with the outer sealing ring, the sterilisation chamber being formed in the annular space between the two sealing rings;
- providing a plug with an annular sealing face thereon which is co-axial with the annular sealing face on the tubular body and is adapted to be engaged by the inner sealing ring;
- bringing the sterilisation and filling head and the tubular body into engagement with each other so that the outer sealing ring engages and seals with the annular sealing face on the body, and the inner sealing ring engages and seals with the annular sealing face on the plug; and
- introducing the sterilisation fluid into the annular sterilisation chamber.

3. A method as claimed in claim 1 or 2, wherein the method includes the steps of:

- providing a gripping jaw on the sterilisation and filling head within the outer sealing ring; and
- gripping the plug with the gripping jaw in order to withdraw the plug from the tubular body.

4. A method as claimed in any one of claims 1 to 3, wherein said method includes the steps of:

- maintaining the outer sealing ring in sealing engagement with the annular sealing face on the body, and the inner sealing ring in sealing engagement with the sealing face on the plug;
- gripping the plug with the gripping jaw; and

- extracting the plug from the tubular body whilst maintaining the inner sealing ring in sealing engagement with the sealing face on the plug.
- 5. A method as claimed in any one of claims 1 to 4 wherein the method includes the steps of:
  - partially inserting the plug into the tubular body;
  - 5 • cleaning the peripheral outer surfaces of the plug prior to fully inserting the plug into the tubular body; and
  - fully inserting the plug into the tubular body.
- 6. A method as claimed in any one of claims 1 to 5, wherein the step of cleaning the peripheral outer surfaces of the plug is achieved by introducing a sterilisation fluid into the sterilisation chamber with the plug partially inserted into the flow passage in the tubular body.
- 10 7. A method as claimed in any one of claims 1 to 6, wherein the method includes the steps of sealing the plug to the tubular body during or after the plug has been reinserted into the tubular body.
- 8. A method as claimed in claim 7, wherein the sealing is achieved by welding the plug in to the tubular body.
- 9. A method as claimed in claim 8, wherein the welding is done using one of the following: high temperature sterilisation fluid; steam.
- 15 10. A sterilisation and filling apparatus for aseptic filling of sterile containers having a filling nozzle comprising a tubular body with a flow passage therethrough and a plug for closing the flow passage, at least the tubular body having an annular sealing face thereon, the apparatus comprising:
  - holding means for holding the container and/or the tubular body in a selected position;
  - a sterilisation and filling head having at least an outer annular sealing ring adapted to engage the annular sealing face on the tubular body, the sterilisation and filling head having a sterilisation chamber located inwards of the outer sealing ring, the sterilisation and filling head having a cavity therein adapted to receive the plug of a container to be filled, the sterilisation and filling head and/or the tubular body being movable towards and away from the other;
  - sterilisation fluid supply means adapted to supply sterilisation fluid to the sterilisation chamber;
  - a plug extractor adapted to extract a plug from the tubular body and move the plug into the cavity in the sterilisation and filling head; and
  - 25 • filling means adapted to fill the container through the sterilisation and filling head when the plug has been extracted.
- 11. A sterilisation and filling apparatus as claimed in claim 10, wherein the sterilisation and filling head includes an inner sealing ring which is co-axial with said outer sealing ring and spaced inwardly therefrom to define an annular space therebetween, said annular space forming said sterilisation chamber, said inner sealing ring being engageable with a sealing face provided on the plug.
- 30 12. A sterilisation and filling apparatus as claimed in claim 10 or 11, wherein the plug extractor may comprise one or more gripping jaws adapted to grip the plug and extract it from the tubular body into the cavity.
- 13. A sterilisation and filling apparatus as claimed in claim 12, wherein the jaws may be mounted to a ram which is moveable in an axial direction towards and away from the plug, the jaws being moveable between gripping and release positions.
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14. A sterilisation and filling apparatus as claimed in claim 12 or 13, wherein the jaws automatically move to a gripping position when the ram moves in a direction away from the plug, and move into the release position when the ram moves towards the plug.

5 15. A sterilisation and filling apparatus as claimed in any one of claims 12 to 14, wherein the ram may be adapted to drive the plug into the tubular passage after the container has been filled.

16. A sterilisation and filling apparatus as claimed in any one of claims 10 to 15, wherein the sterilisation and filling head is adapted to shut off the flow of filling material into the container prior to the plug being fully inserted into the tubular passage.

10 17. A sterilisation and filling apparatus as claimed in any one of claims 10 to 16, wherein said sterilisation and filling head is adapted to clean the plug with sterilisation fluid when the plug is partially re-inserted back into the tubular passage.

18. An aseptic container adapted to be filled with a flowable material, the aseptic container having a filling opening comprising a tubular body having a flow passage therethrough, and a plug for sealing the flow passage, the plug having gripping formations on the outer face thereof, and retaining means or locking formations thereon for operatively or cooperatively locking the plug into the flow passage.

15 19. An aseptic container as claimed in claim 18, wherein said gripping formations will allow the application of an axially directed force to be applied to the plug to remove or re-install the plug into the filling opening.

20. An aseptic container as claimed in claim 18, wherein the gripping formations will allow the applications of a rotational force to be applied to the plug to remove or re-install the plug into the filling opening.

20 21. An aseptic container as claimed in any one of claims 17 to 20, wherein the plug is removed and reinstalled into said opening by axial means, preferably of a slide or interference fit.

22. An aseptic container as claimed in any one of claims 17 to 20, wherein said plug and opening include a screw thread or cam or bayonet locking means.

25 23. An aseptic container as claimed in any one of claims 17 to 22, wherein the plug is cup shaped having an end wall and a cylindrical skirt depending from the end wall, the end wall adapted to be outermost when the plug is inserted into the flow passage.

24. An aseptic container as claimed in claim 23, wherein gripping formations are formed on the end wall and project in a direction which is opposite to that in which the skirt extends from the end wall.

25. An aseptic container as claimed in claim 24, wherein said gripping formations may take the form of a head which stands proud of the end wall.

30 26. An aseptic container as claimed in claim 25, wherein said head is undercut to provide purchase for the gripping jaw which is adapted to extract the plug from the flow passage.

27. An aseptic container as claimed in any one of claims 18 to 26, wherein said locking formations may comprise a radially outwardly projecting annular rib formed on the plug, said rib being adapted to locate behind a shoulder, end face or within a groove formed in or adjacent the flow passage.

35 28. An aseptic container as claimed in any one of claims 18 to 27, wherein said flow passage and/or the plug have an annular seal therein adapted to seal with a plug inserted into the annular passage.

29. An aseptic container as claimed in any one of claims 18 to 28, wherein the plug and/or the tubular body are formed of a thermoplastic material adapted to bond together under temperatures of between about 130°C and 180°C.

30. An aseptic container as claimed in any one of claims 18 to 29, wherein the plug and the tubular body are sealed together during manufacture.

31. An aseptic container as claimed in claim 30, wherein said seal is mechanically rupturable.

32. An aseptic container as claimed in claim 30, wherein said seal is adapted to be weakened under temperatures of between about 130°C and 180°C thereby providing an arrangement for simplified extraction of the plug after it has been sterilised by a high temperature sterilisation fluid.

33. A plug and gland port for use on an aseptic container, said port comprising:

a tubular body having a flow passage therethrough defined by a cylindrical inner wall of the tubular body, and

a plug for sealing the flow passage, the plug having gripping formations on the outer face thereof, and retaining means or formations thereon for locking the plug into the flow passage,

said retaining means comprising an annular recess formed around the periphery of the plug, and an annular rib or lip formed around and standing proud of the cylindrical inner wall of the tubular body, the rib or lip being adapted to locate in the recess to form a locating and/or sealing engagement with the recess when the plug is operatively installed within the tubular body.

34. A plug and gland as claimed in claim 33, wherein the annular recess on the plug is at least partially filled with a sealing ring.

35. A plug and gland as claimed in claim 33 or 34, wherein said sealing ring is a low melt sealant deposited in said recess.

36. A plug and gland as claimed in claim 35, wherein the rib or lip on the cylindrical inner wall is spaced a first distance away from the operatively outer end face of the tubular body.

37. A plug and gland as claimed in any one of claims 33 to 36, wherein the annular recess on the plug is spaced a second distance away from the operatively outer end face of the plug, said second distance being less than said first distance.

38. A plug and gland as claimed in any one of claims 33 to 37, wherein said plug has a second annular recess formed around the periphery thereof, said second annular recess being spaced from the first annular recess, the second annular recess being spaced a distance away from the operatively outer end face of the plug by a distance which is substantially the same as the distance which the rib or lip is spaced away from the operatively outer end face of the gland so that when the rib or lip is located within the second annular recess the operatively outer end faces of the gland and the plug are substantially flush with each other.

39. A plug and gland as claimed in claim 39 whereby prior to filling the container the gland and plug are welded together.

40. A plug and gland, as claimed in any one of claims 33 to 39, wherein said rib or lip has a generally triangular form in cross section so as to provide a chamfered or bevelled face in both an outwardly facing direction and an inwardly facing direction to allow for simplified engagement and disengagement of the plug with the gland.

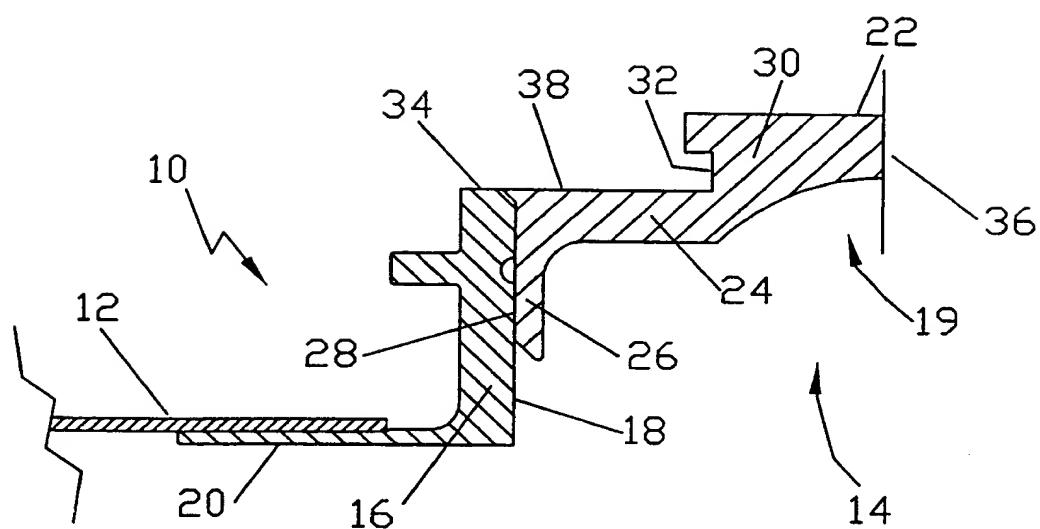


Fig 1

1/20

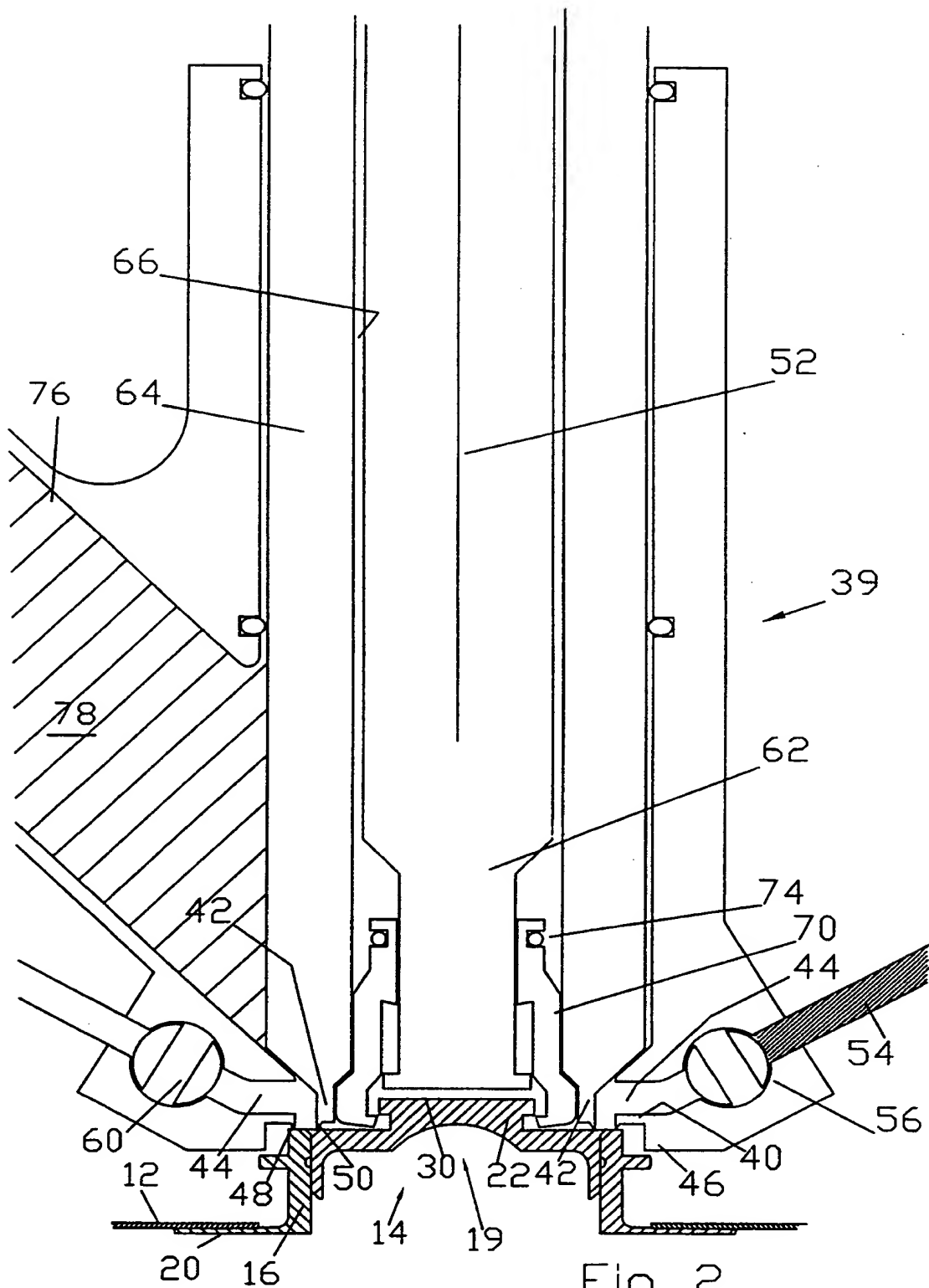
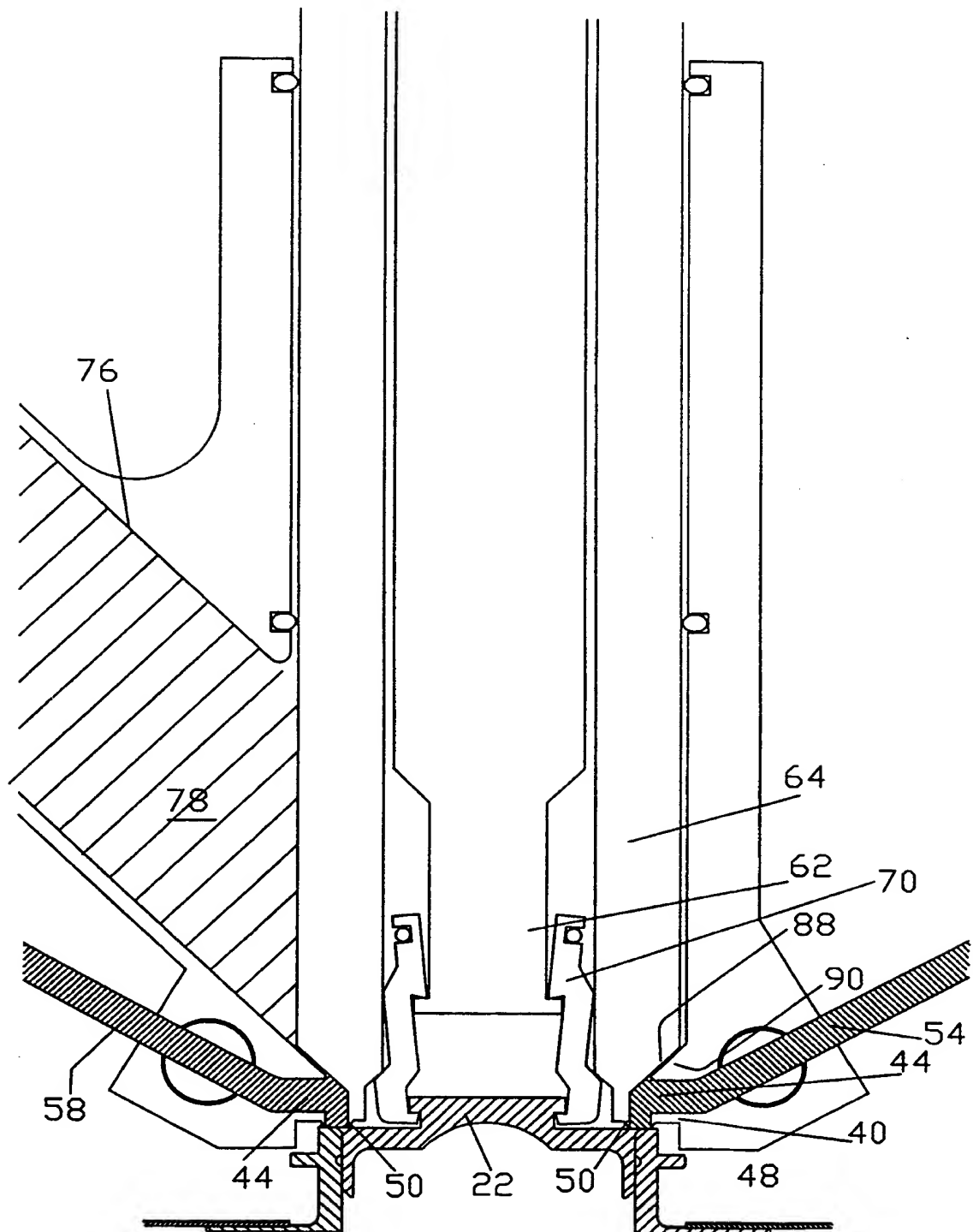


Fig 2

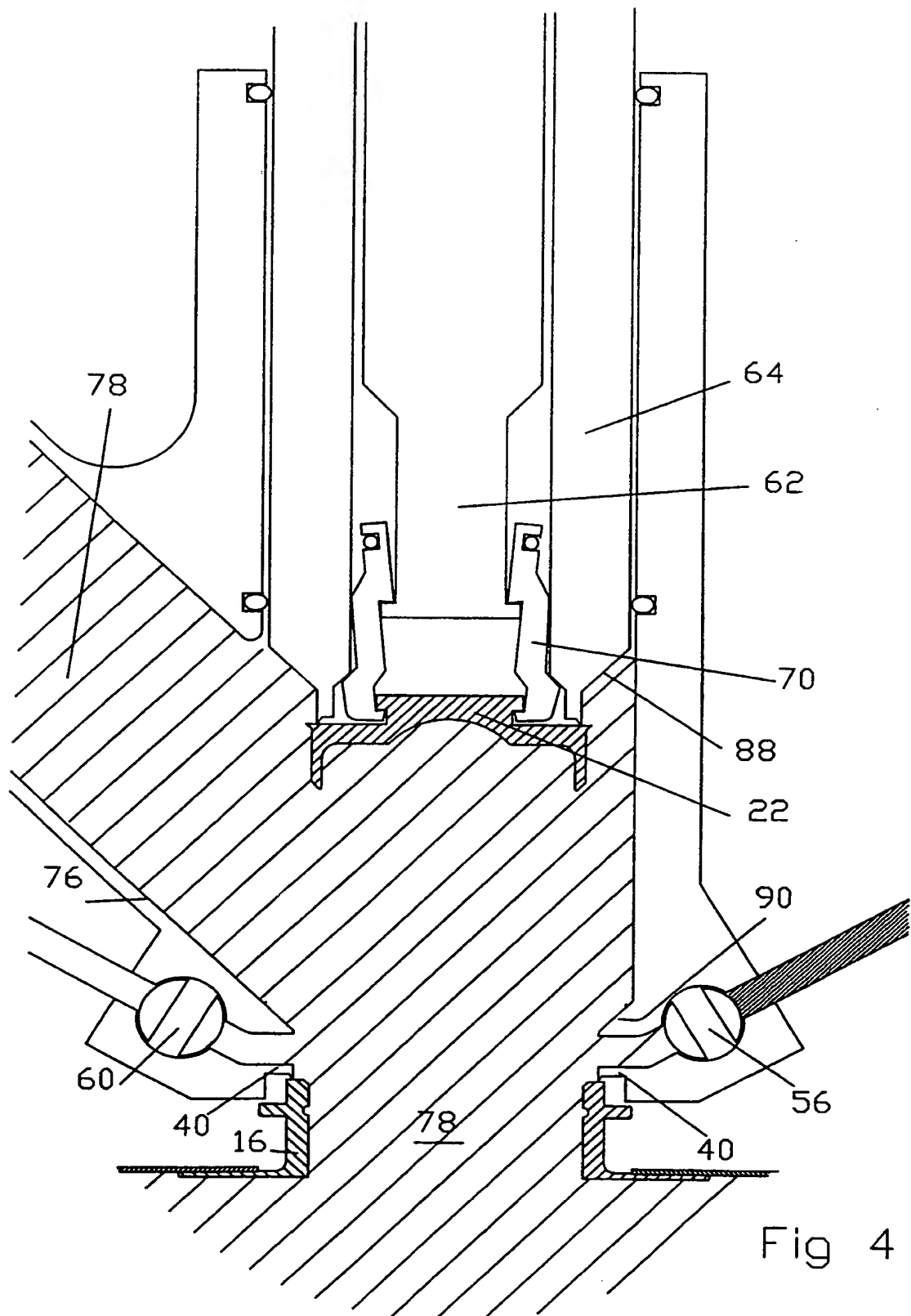
2/20



3/20

Fig 3





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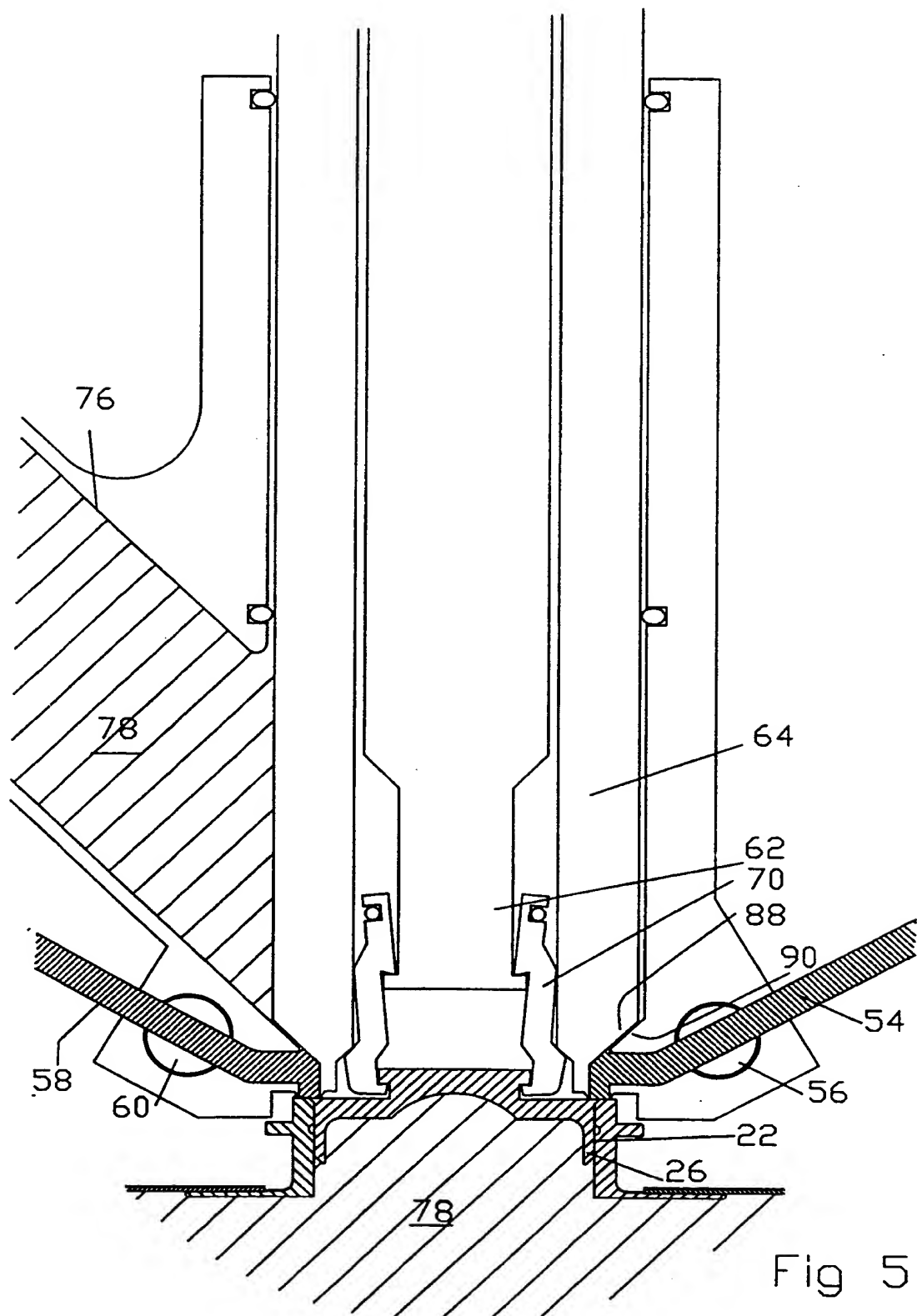


Fig 5

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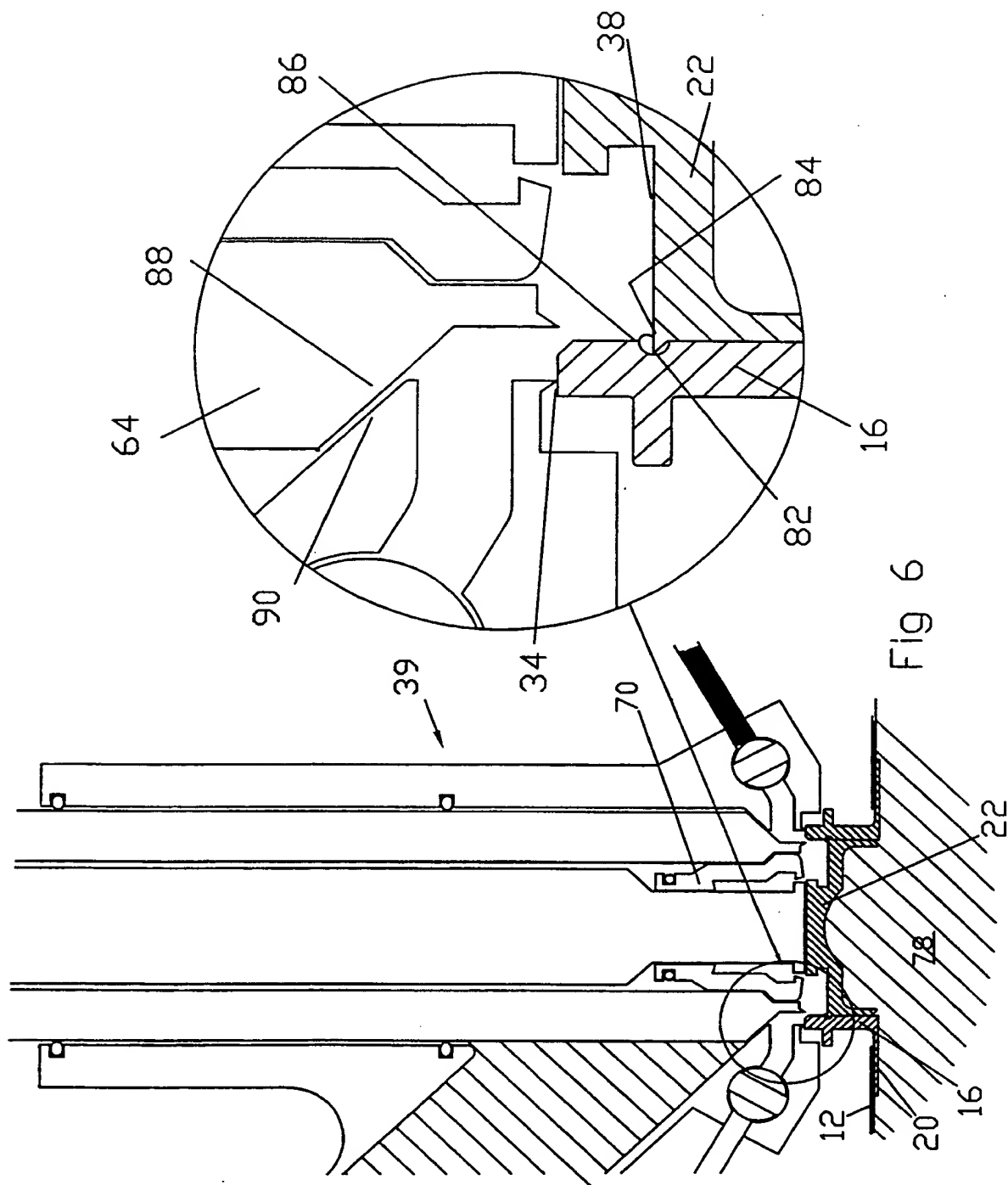


Fig 6

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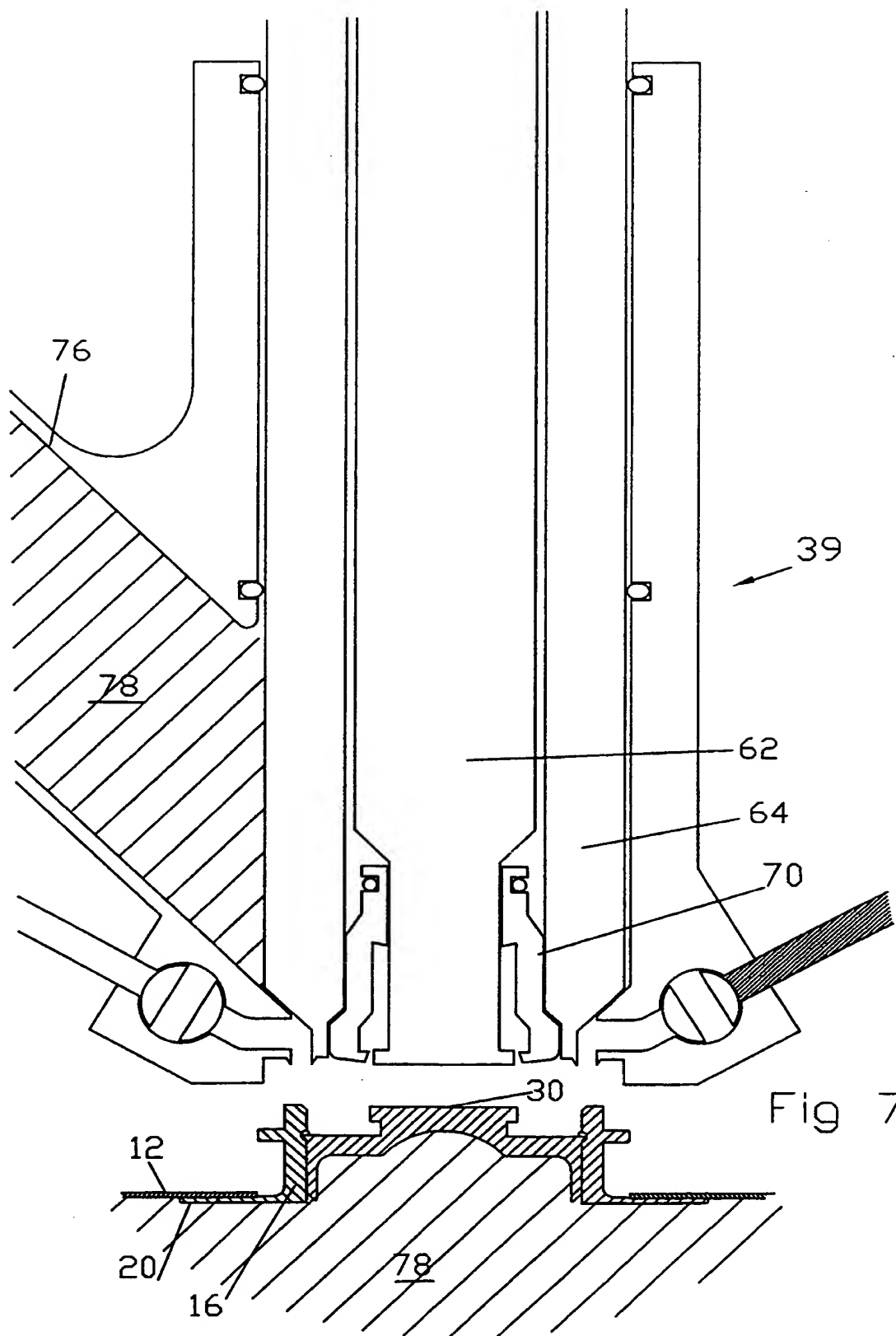
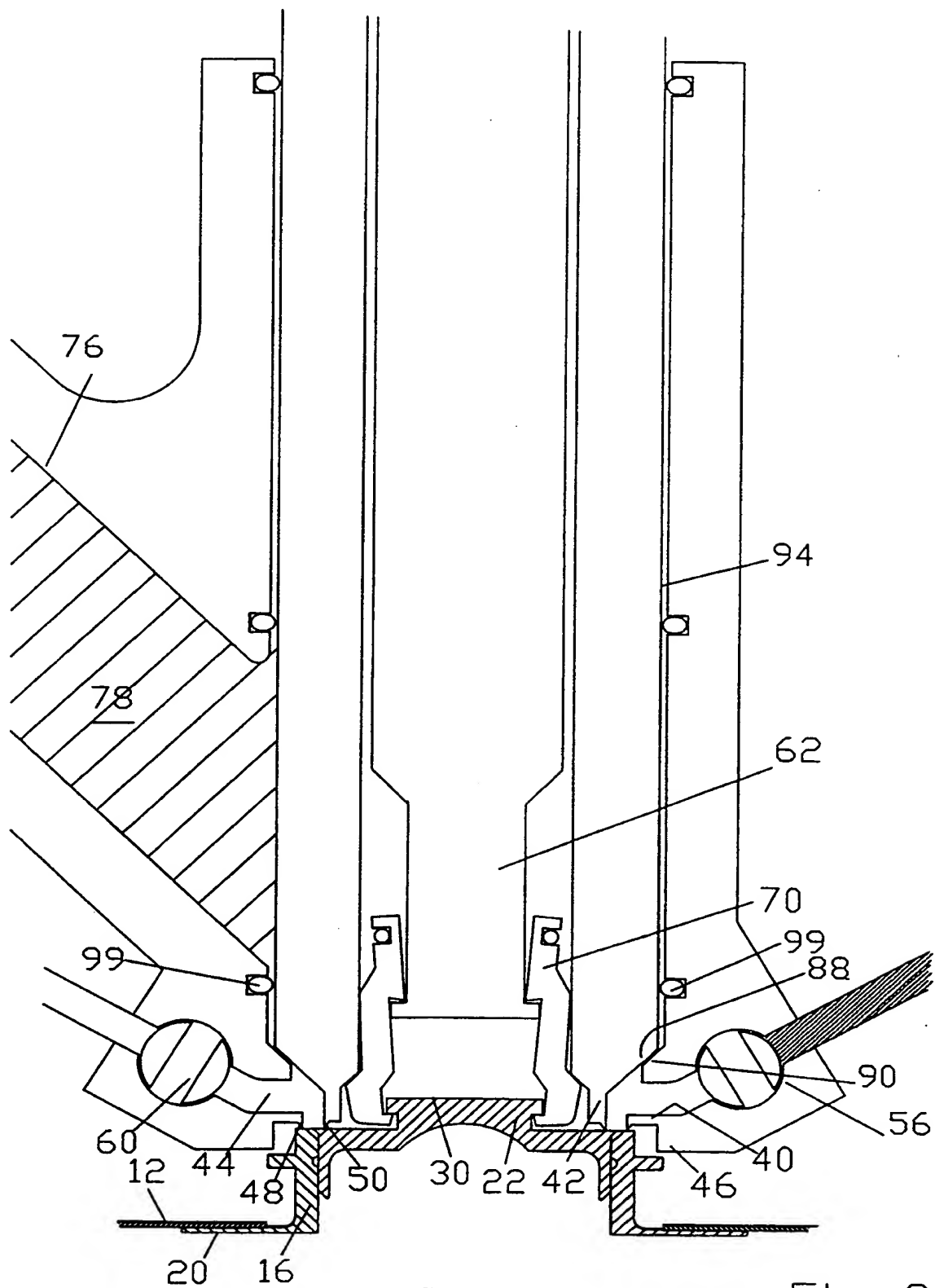


Fig 7



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Fig 8

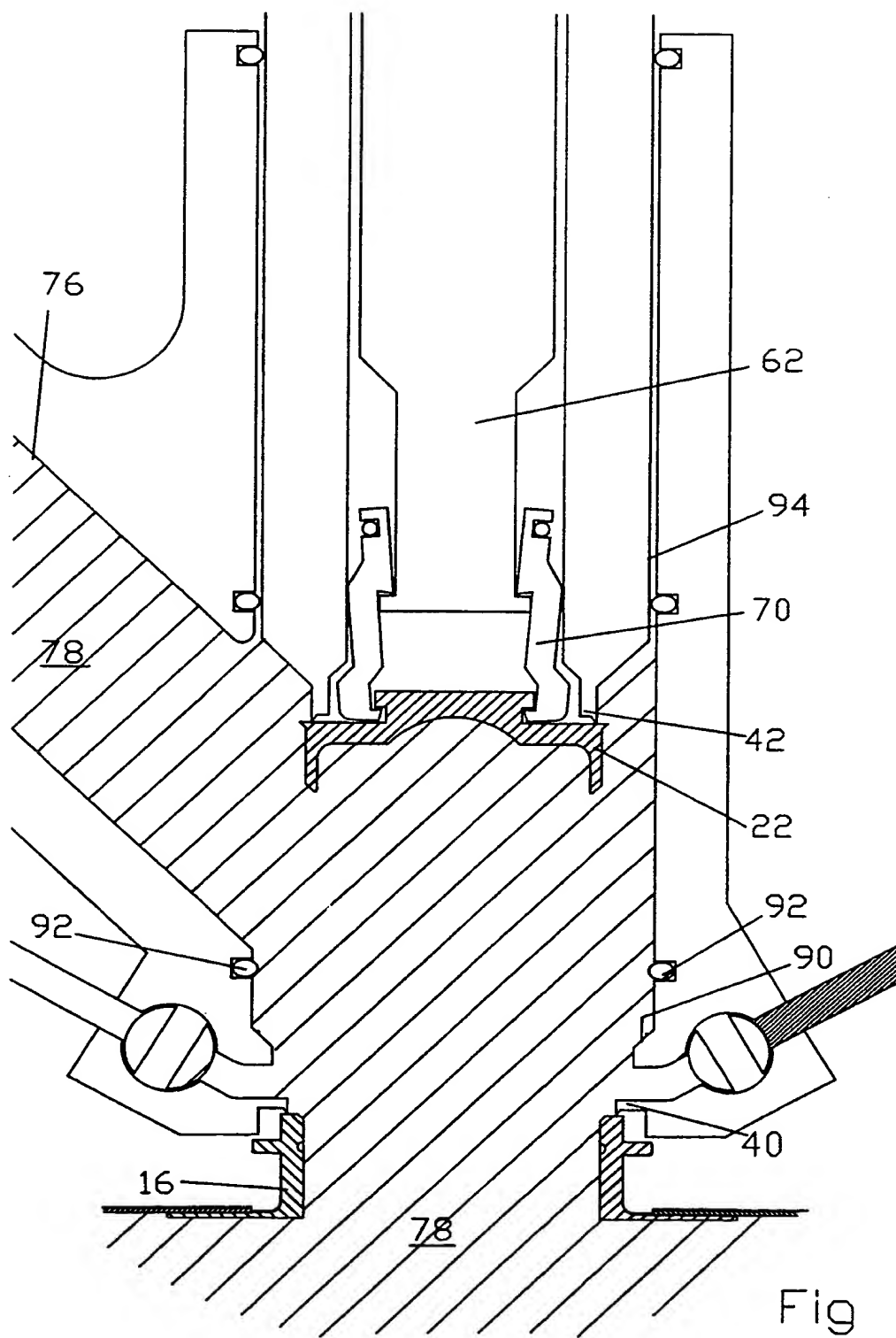
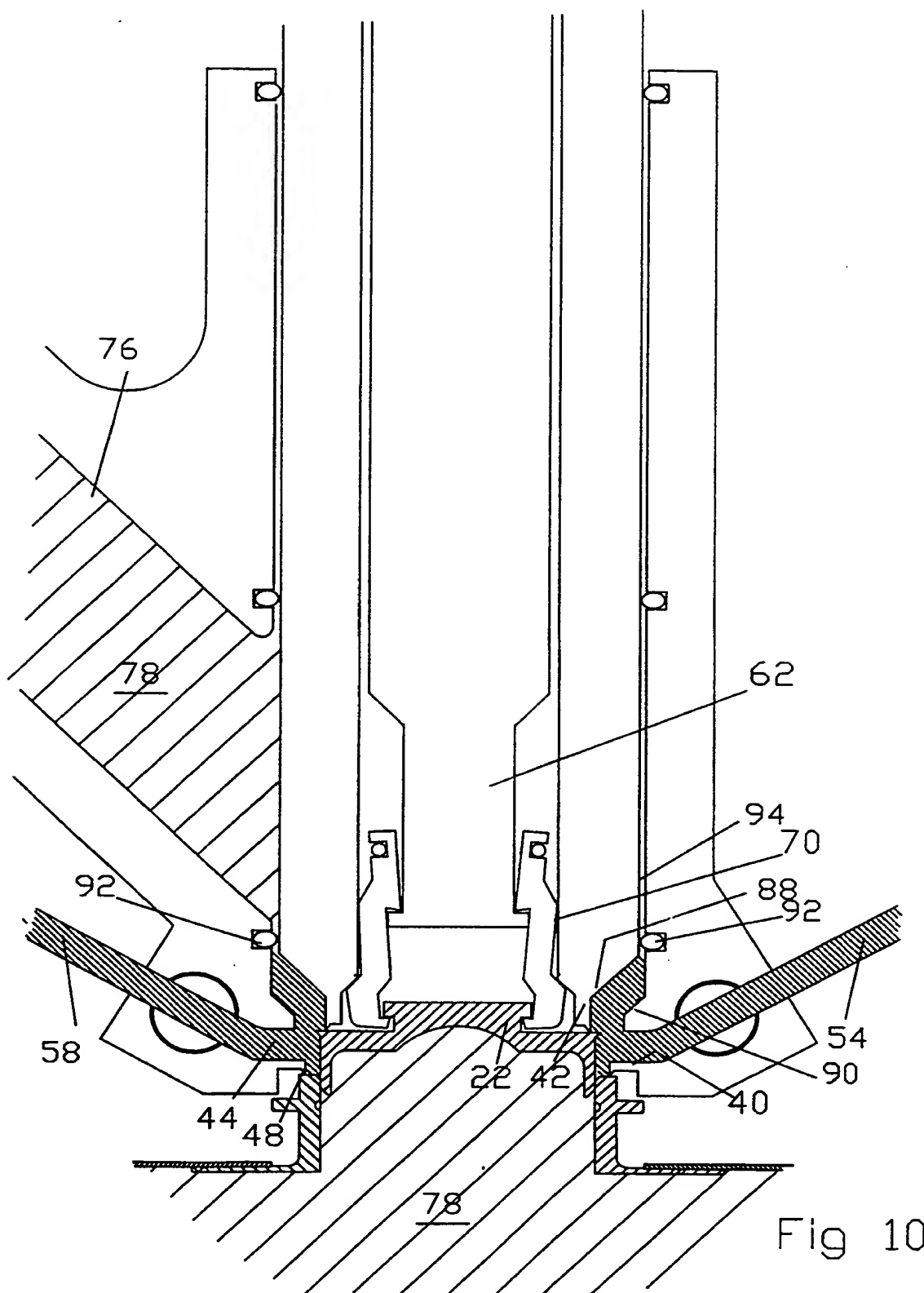


Fig 9

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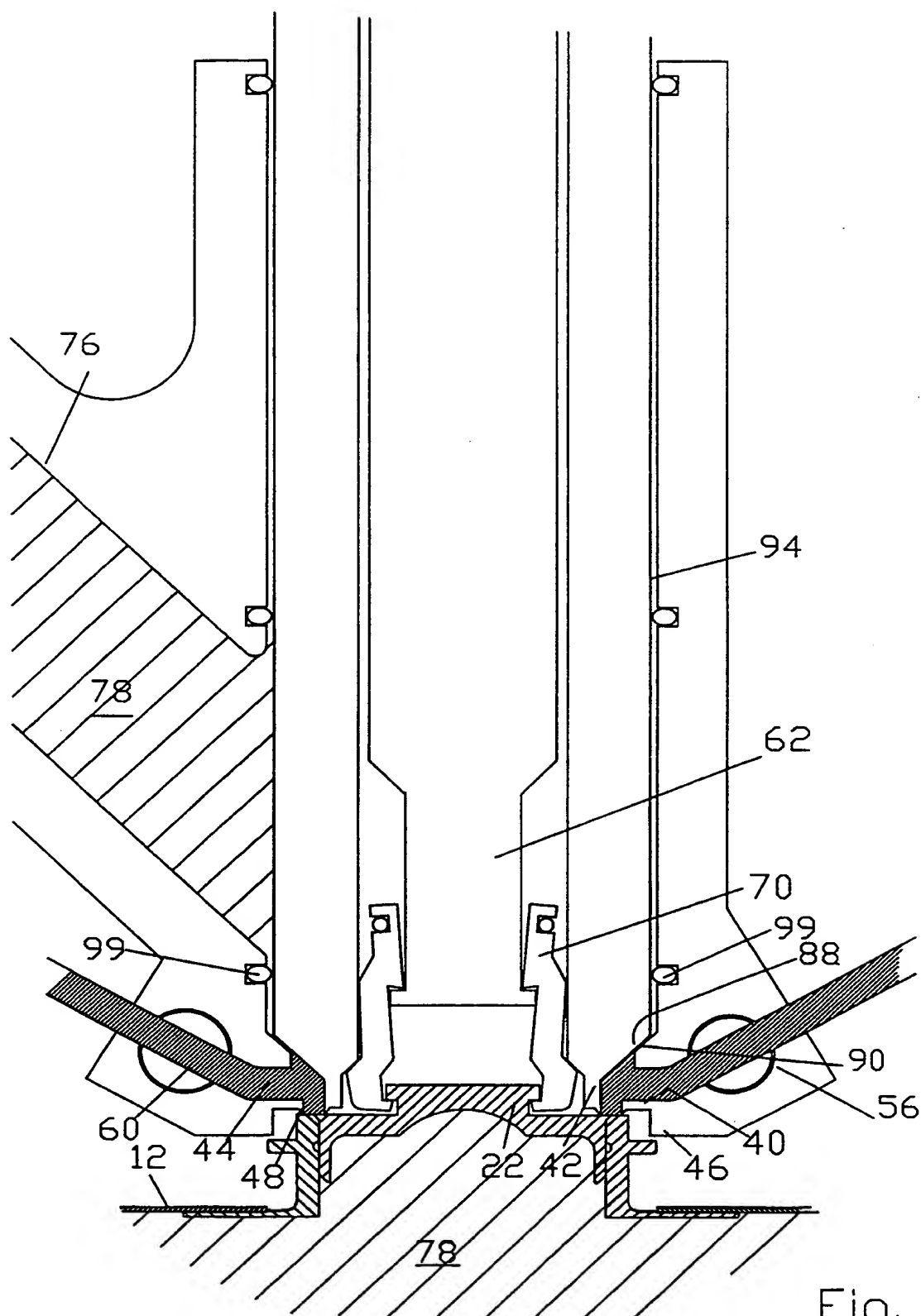
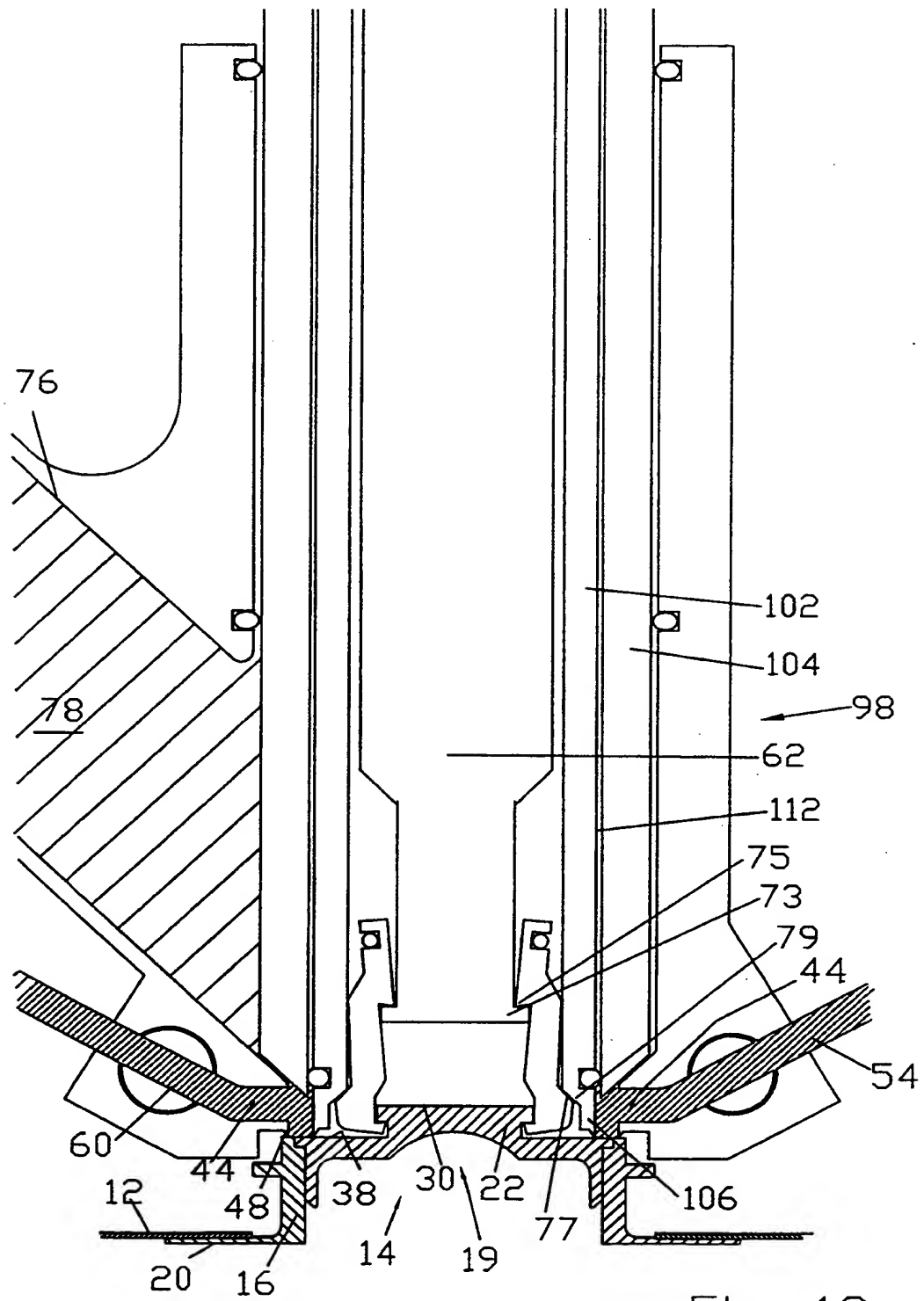


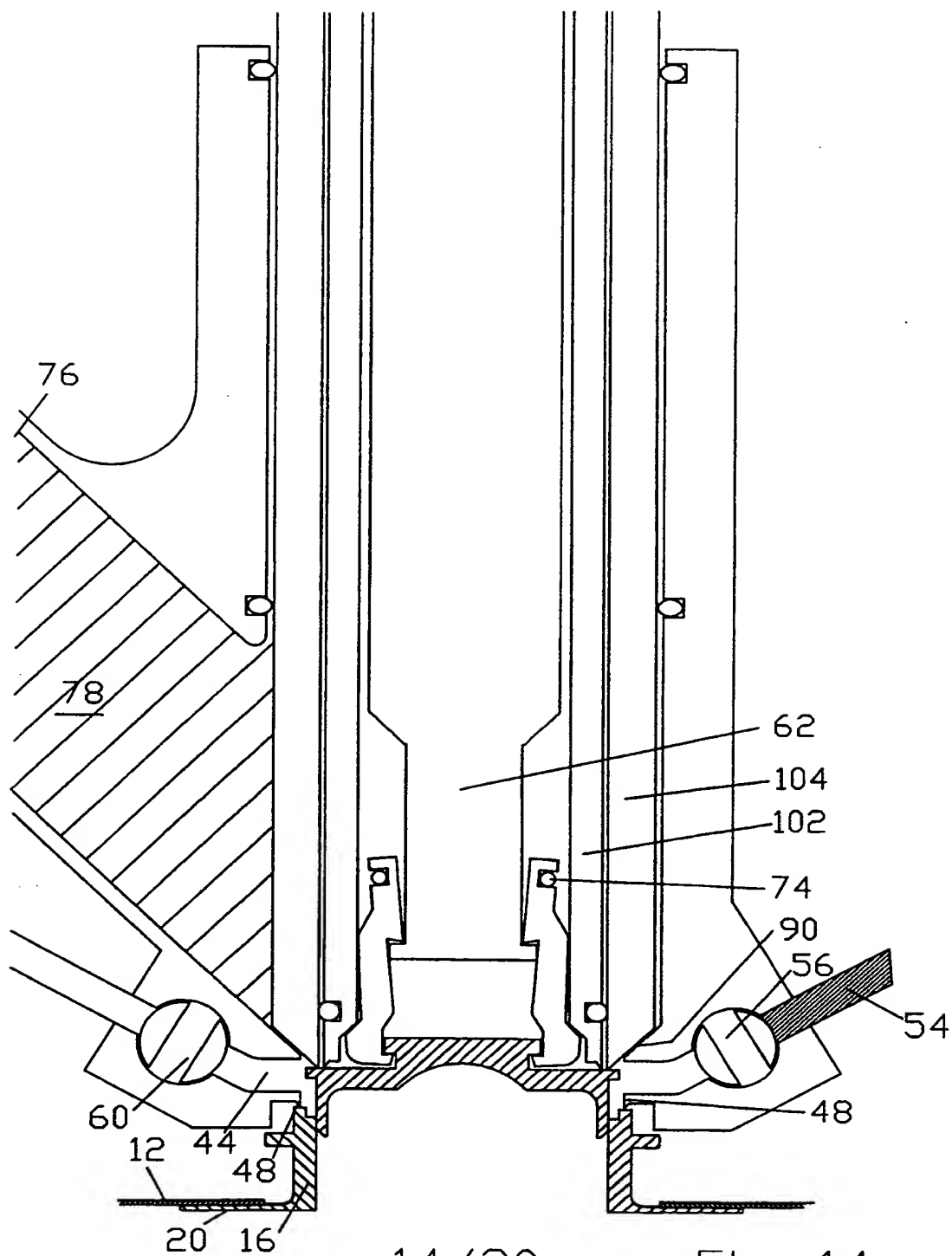
Fig. 11

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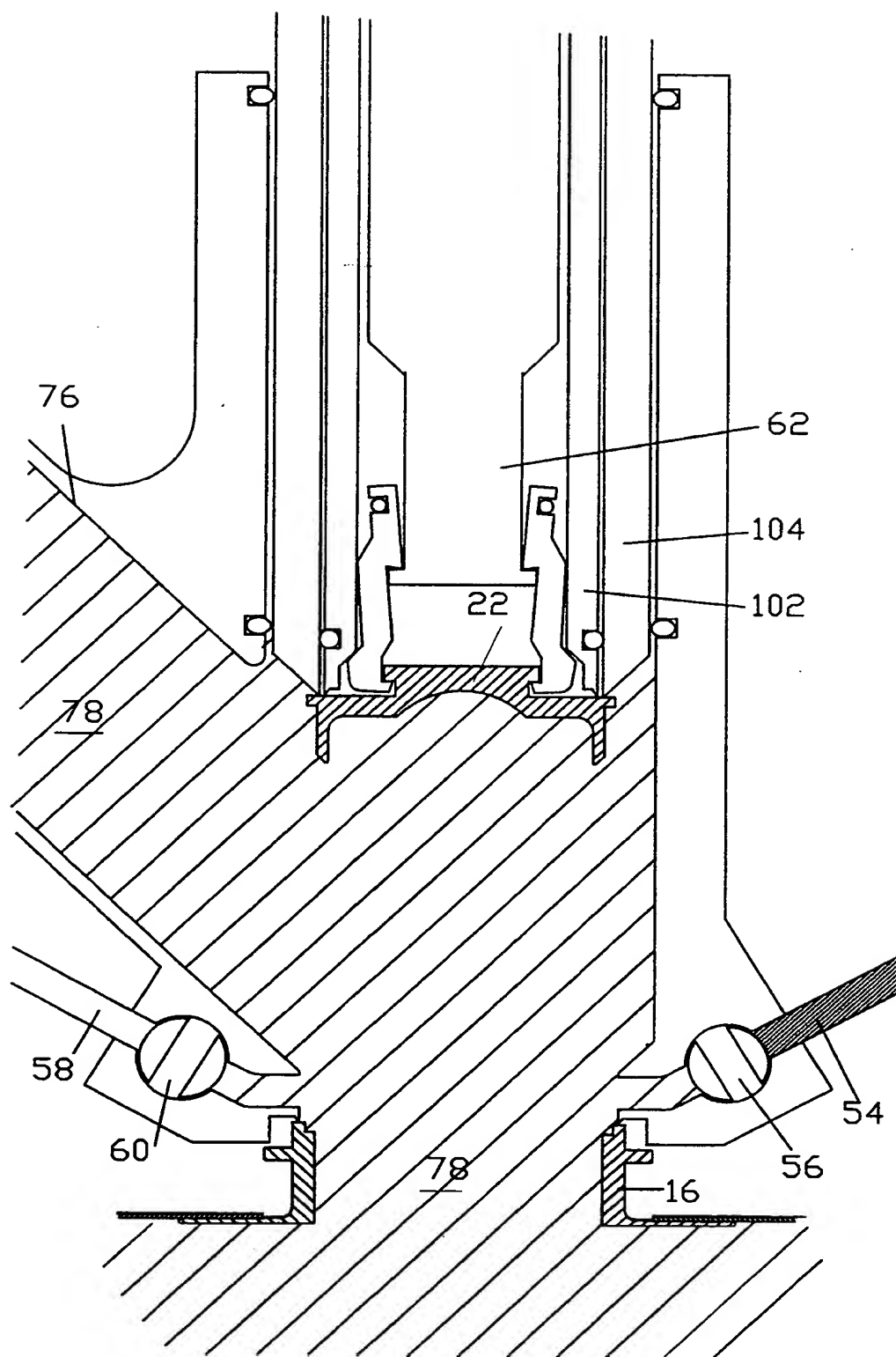






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Fig. 14



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Fig. 15

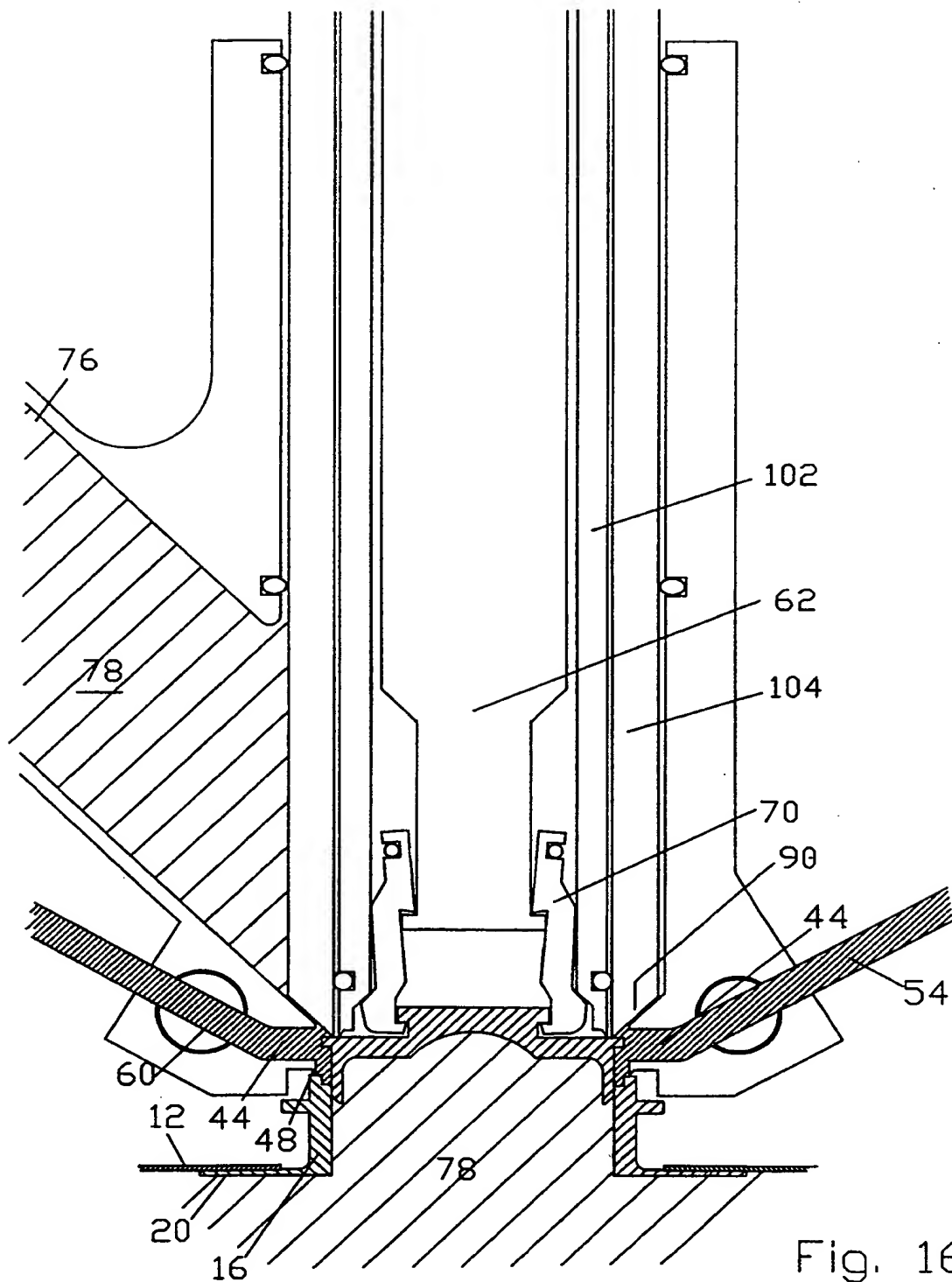
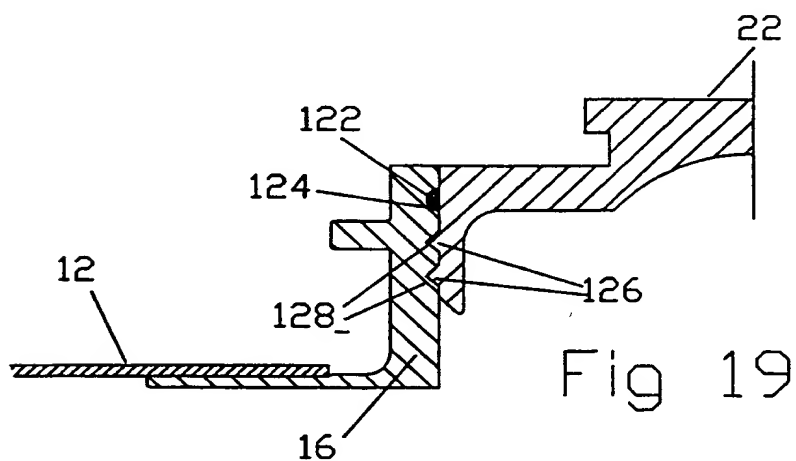
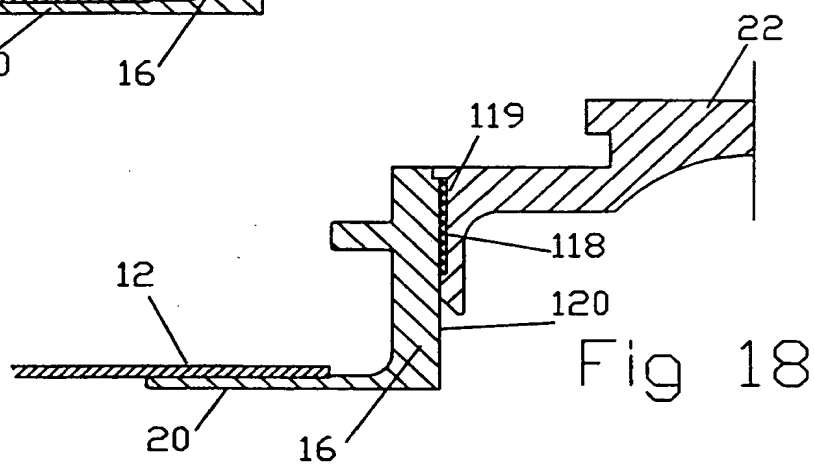
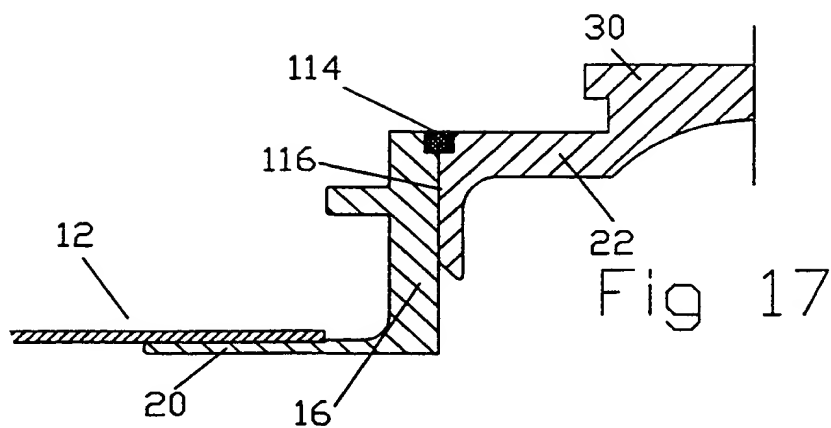


Fig. 16

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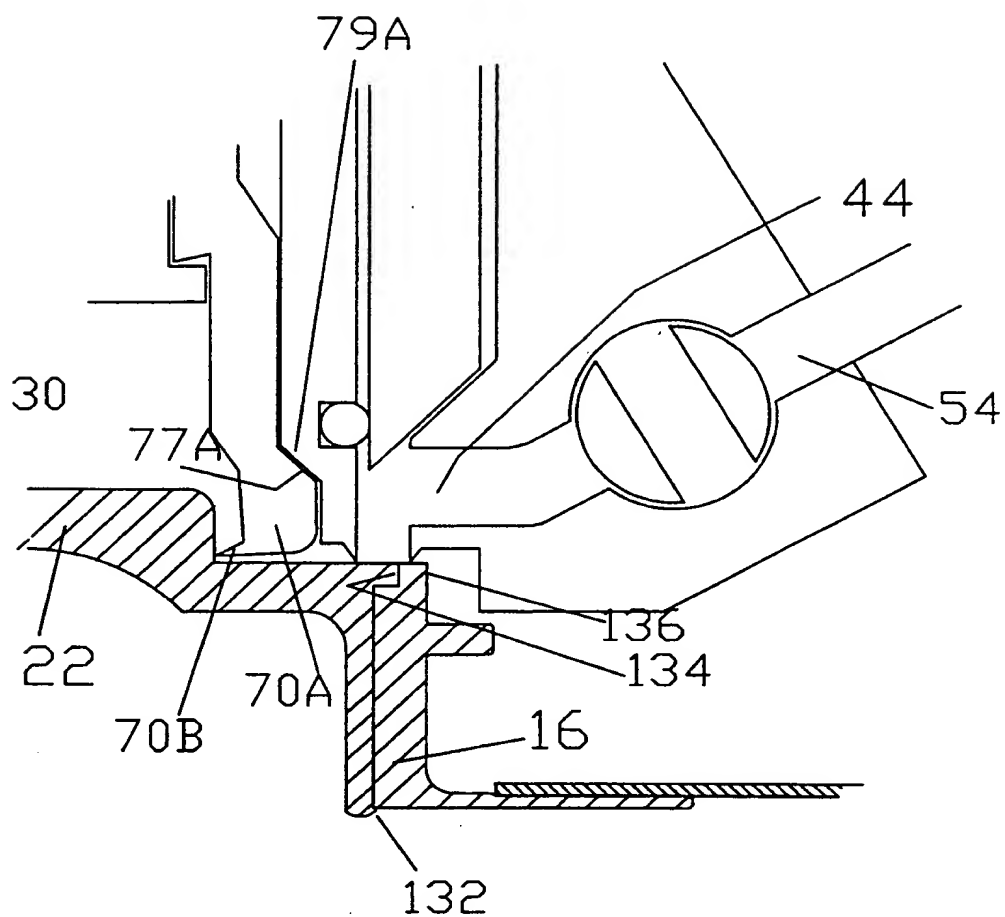


Fig. 20

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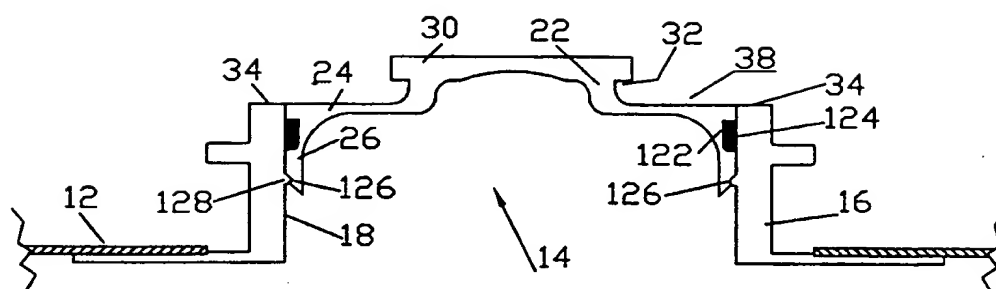


Fig. 21

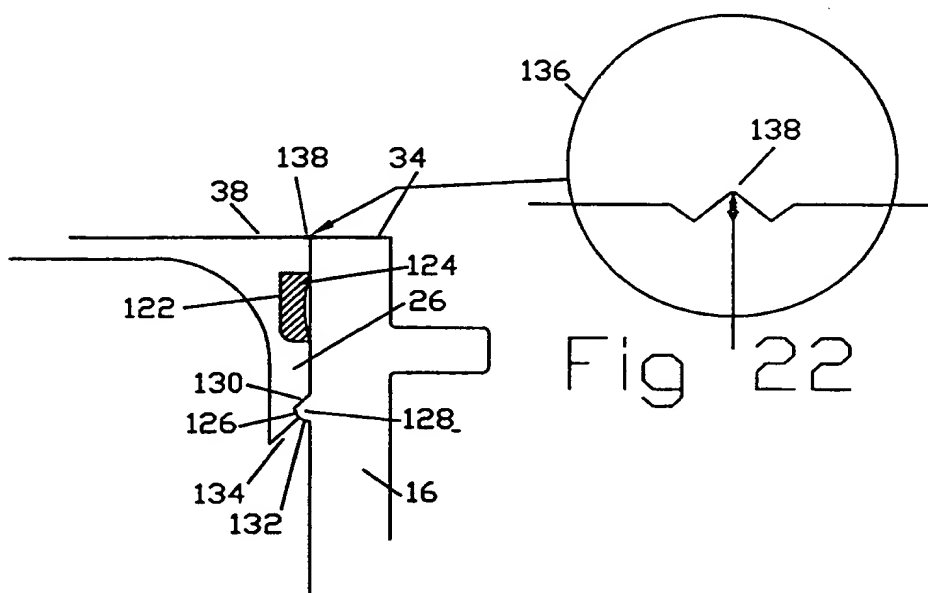


Fig 22



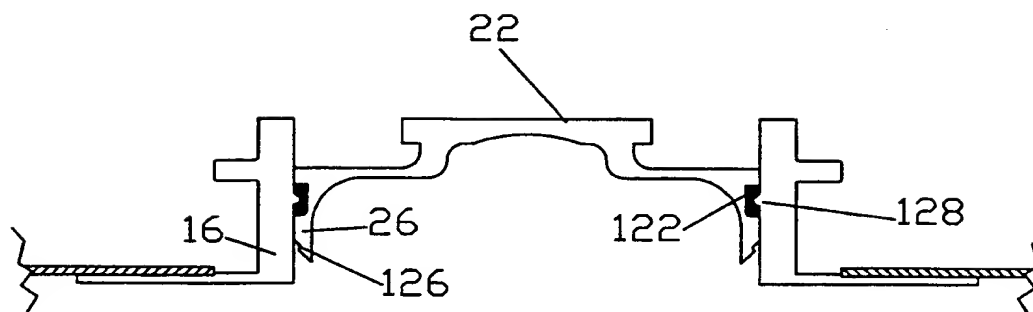


Fig. 23

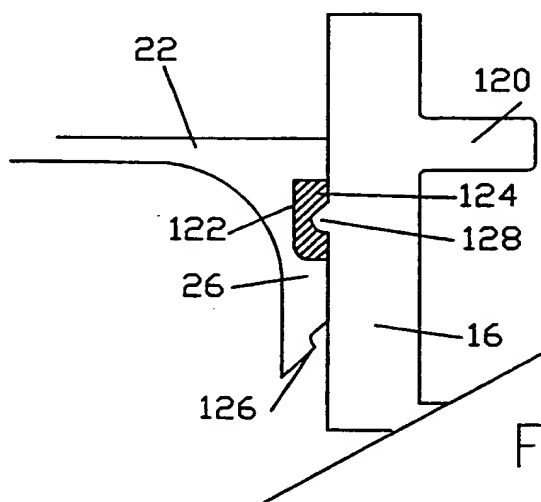


Fig. 24

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## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/AU 99/00514

<b>A. CLASSIFICATION OF SUBJECT MATTER</b>		
Int Cl <sup>6</sup> : B65B 1/18, 3/17, 31/02, 55/10, B65D 39/04		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols)		
IPC B65B 1/18, 3/17, 31/02, 55/02, 55/10; B65D 33/-, 39/-, 77/06, 53/02, 53/04; B67D 5/37		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
AU IPC ((B65D 39/02 OR 39/04) AND B65D 33/16) OR B65D 30/24		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)		
WPAT: IPC as above plus keywords		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X A	AU 21354/88 A (DUFRENE) 1 March 1989 whole document whole document	1, 3, 5-10, 12, 13, 15-17 18-40
X	US 5479955 A (ROODVOETS et al) 2 January 1996 Whole document	1, 5-7, 10, 16-22, 27, 28, 33
X	US 3900122 A (DICHTER) 19 August 1975 Figures 1-5	18-20, 23, 27, 33, 40
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C <input checked="" type="checkbox"/> See patent family annex		
<p>* Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier application or patent but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&amp;" document member of the same patent family</p>		
Date of the actual completion of the international search 26 August 1999		Date of mailing of the international search report 01 SEP 1999
Name and mailing address of the ISA/AU AUSTRALIAN PATENT OFFICE PO BOX 200 WODEN ACT 2606 AUSTRALIA Facsimile No.: (02) 6285 3929		Authorized officer  DEREK BUTLER Telephone No.: (02) 6283 2347

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU 99/00514

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	JP 10045156 A (TOPPAN PRINTING CO LTD) 17 February 1998 & Derwent Abstract Accession No. 98-188789/17, Class Q33 Q34, 17 February 1998 Figures, abstract	18

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU 99/00514

**Box I** Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a)

**Box II** Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

The international application does not comply with the requirements of unity of invention only because the groups of claims 1-17 and 18-40 do not relate to one invention or to a group of inventions so linked as to form a single general inventive concept. See the reasons indicated on the extra sheet.

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☒ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU 99/00514

**Box II Observations where unity of invention is lacking**

The international application does not comply with the requirements of unity of invention only because it does not relate to one invention or to a group of inventions so linked as to form a single general inventive concept. In coming to this conclusion the International Searching Authority has found that there are two inventions as follows:

1. Claims 1-17 are directed to a method and apparatus for aseptically filling a sterilised container. It is considered that the steps of the method and means to carry out the steps comprise a first "special technical feature".
2. Claims 18-40 define a container and plug and port for a container with retaining means or formations to interlock the plug in the container flow passage. It is considered that the form of the retaining means or formations comprise a second "special technical feature".

Since the abovementioned groups of claims do not share the technical features identified, a "technical relationship" between the inventions, as defined in PCT Rule 13.2 does not exist. Accordingly the international application does not relate to one invention or to a single inventive concept.

## INTERNATIONAL SEARCH REPORT

### Information on patent family members

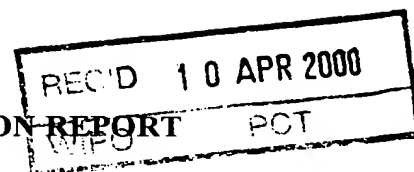
International application No.  
PCT/AU 99/00514

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report				Patent Family Member			
AU	21354/88	EP	380500	FR	2619078	WO	8900952
US	5479955	EP	685398				
US	3900122	BE	802618	CH	563291	DE	2236528
		FR	2193741	GB	1424079		
END OF ANNEX							

**PATENT COOPERATION TREATY**  
**PCT**  
**INTERNATIONAL PRELIMINARY EXAMINATION REPORT**

(PCT Article 36 and Rule 70)



Applicant's or agent's file reference <b>2037438</b>	<b>FOR FURTHER ACTION</b>	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416).
International application No. <b>PCT/AU99/00514</b>	International filing date ( <i>day/month/year</i> ) <b>25 June 1999</b>	Priority Date ( <i>day/month/year</i> ) <b>29 June 1998</b>
International Patent Classification (IPC) or national classification and IPC  <b>Int. Cl. <sup>7</sup> B65B 1/18, 3/17, 31/02, 55/10, B65D 39/04</b>		
Applicant <b>ASTRAPAK LIMITED et al</b>		

1.	This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.																								
2.	This REPORT consists of a total of <b>6</b> sheets, including this cover sheet.  <input type="checkbox"/> This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).  These annexes consist of a total of    sheet(s).																								
3.	This report contains indications relating to the following items:  <table style="width: 100%;"> <tr> <td style="width: 5%;">I</td> <td style="width: 5%; text-align: center;"><input checked="" type="checkbox"/></td> <td>Basis of the report</td> </tr> <tr> <td>II</td> <td style="text-align: center;"><input type="checkbox"/></td> <td>Priority</td> </tr> <tr> <td>III</td> <td style="text-align: center;"><input type="checkbox"/></td> <td>Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</td> </tr> <tr> <td>IV</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td>Lack of unity of invention</td> </tr> <tr> <td>V</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td>Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</td> </tr> <tr> <td>VI</td> <td style="text-align: center;"><input type="checkbox"/></td> <td>Certain documents cited</td> </tr> <tr> <td>VII</td> <td style="text-align: center;"><input type="checkbox"/></td> <td>Certain defects in the international application</td> </tr> <tr> <td>VIII</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td>Certain observations on the international application</td> </tr> </table>	I	<input checked="" type="checkbox"/>	Basis of the report	II	<input type="checkbox"/>	Priority	III	<input type="checkbox"/>	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability	IV	<input checked="" type="checkbox"/>	Lack of unity of invention	V	<input checked="" type="checkbox"/>	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement	VI	<input type="checkbox"/>	Certain documents cited	VII	<input type="checkbox"/>	Certain defects in the international application	VIII	<input checked="" type="checkbox"/>	Certain observations on the international application
I	<input checked="" type="checkbox"/>	Basis of the report																							
II	<input type="checkbox"/>	Priority																							
III	<input type="checkbox"/>	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability																							
IV	<input checked="" type="checkbox"/>	Lack of unity of invention																							
V	<input checked="" type="checkbox"/>	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement																							
VI	<input type="checkbox"/>	Certain documents cited																							
VII	<input type="checkbox"/>	Certain defects in the international application																							
VIII	<input checked="" type="checkbox"/>	Certain observations on the international application																							

Date of submission of the demand <b>6 December 1999</b>	Date of completion of the report <b>29 March 2000</b>
Name and mailing address of the IPEA/AU  AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaustalia.gov.au Facsimile No. (02) 6285 3929	Authorized Officer  <b>DEREK BUTLER</b>  Telephone No. (02) 6283 2347

**I. Basis of the report**1. With regard to the **elements** of the international application:\*

- ☒ the international application as originally filed.
- ☐ the description,        pages , as originally filed,  
   pages , filed with the demand,  
   pages , received on    with the letter of
- ☐ the claims,                pages , as originally filed,  
   pages , as amended (together with any statement) under Article 19,  
   pages , filed with the demand,  
   pages , received on    with the letter of
- ☐ the drawings,            pages , as originally filed,  
   pages , filed with the demand,  
   pages , received on    with the letter of
- ☐ the sequence listing part of the description:  
   pages , as originally filed  
   pages , filed with the demand  
   pages , received on    with the letter of

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, was on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

4. ☐ The amendments have resulted in the cancellation of:

- ☐ the description,        pages
- ☐ the claims,            Nos.
- ☐ the drawings,        sheets/fig.

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).\*\*

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

\*\* Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report



**IV. Lack of unity of invention**

1. In response to the invitation to restrict or pay additional fees the applicant has:

- ☐ restricted the claims.
- ☐ paid additional fees.
- ☐ paid additional fees under protest.
- ☐ neither restricted nor paid additional fees.

2. ☐ This Authority found that the requirement of unity of invention is not complied with and chose, according to Rule 68.1, not to invite the applicant to restrict or pay additional fees.

3. This Authority considers that the requirement of unity of invention in accordance with Rules 13.1, 13.2 and 13.3 is

- ☐ complied with.
- ☒ not complied with for the following reasons:

The international application does not comply with the requirements of unity of invention only because it does not relate to one invention or to a group of inventions so linked as to form a single general inventive concept. In coming to this conclusion the International Searching Authority has found that there are two inventions as follows:

1. Claims 1-17 are directed to a method and apparatus for aseptically filling a sterilised container. It is considered that the steps of the method and means to carry out the steps comprise a first "special technical feature".
2. Claims 18-40 define a container and plug and port for a container with retaining means or formations to interlock the plug in the container flow passage. It is considered that the form of the retaining means or formations comprise a second "special technical feature".

Since the abovementioned groups of claims do not share the technical features identified, a "technical relationship" between the inventions, as defined in PCT Rule 13.2 does not exist. Accordingly the international application does not relate to one invention or to a single inventive concept.

The International Search Report covered all the claims.

4. Consequently, the following parts of the international application were the subject of international preliminary examination in establishing this report:

- ☒ all parts.
- ☐ the parts relating to claims Nos.

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement****1. Statement**

Novelty (N)	Claims 2-9, 11-15, 17, 24, 29-32, 34, 35, 38, 39	YES
	Claims 1, 10, 16, 18-23, 25-28, 33, 36, 37, 40	NO
Inventive step (IS)	Claims 2, 4-6, 11, 17, 29-32, 34, 35, 38, 39	YES
	Claims 1, 3, 7-10, 12-16, 18-28, 33, 36, 37, 40	NO
Industrial applicability (IA)	Claims 1-40	YES
	Claims -	NO

**2. Citations and explanations (Rule 70.7)**

The following documents identified in the International Search Report have been considered for the purposes of this report:

D1 AU 21354/88  
D2 US 5479955  
D3 US 3900122  
D4 JP 10045156

**NOVELTY (N)**

Claims 1, 10 and 16:

Citations D1 and D2 are directed to devices and methods to fill aseptic containers and they each disclose all the features of the invention as defined in claims 1, 10 and 16. Consequently the invention as defined in claims 1, 10 and 16 is not novel.

Claims 18-23 and 25-28:

Citations D2 and D3 each disclose aseptic containers and stoppers having all the features of the invention defined in these claims. D2 refers to the retaining means at column 3 lines 11-20. D3 falls within the scopes of claims 25-28 because the term "may" reduces the added features of the claims to optional features. Consequently the invention defined in claims 18-23 and 25-28 is not novel.

Claims 33, 36, 37 and 40:

Citation D3 discloses a plug and gland port (outlet) for an aseptic container having all the features of these claims, see figure 5. Consequently the invention defined in claims 33, 36, 37 and 40 is not novel.

Claims 2, 4 and 11:

None of the citations disclose a method of aseptically filling a container with an inner and outer sealing ring on the filling head as defined in claims 2, 4 and 11. Therefore the subject matter of these claims is new and the claims meet the requirements of Article 33(2) PCT with regard to the requirement for novelty.

Claims 3 and 12-15:

None of the citations disclose a method of aseptically filling a container with a gripping jaw on the filling head within the outer sealing ring as defined in claims 3 and 12-15. Therefore the subject matter of these claims is new and the claims meet the requirements of Article 33(2) PCT with regard to the requirement for novelty.

continued on supplemental sheet:

**VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

1. Claims 21-23, which are directed to an aseptic container, are appended to claims 17-20 or 22 respectively. Claim 17 is directed to a sterilisation and filling apparatus.
2. Claims 25 and 27 are unclear and ambiguous due to the use of the word "may" preceding what otherwise appears to be a limiting feature. Consequently it is not clear if it is essential that the features are present in the invention as claimed.
3. Claim 39 is unclear because it includes a dependency reference to itself.

**Supplemental Box**

(To be used when the space in any of the preceding boxes is not sufficient)

**Continuation of Box V:** Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**NOVELTY (N) continued**

Claims 5, 6 and 17:

None of the citations disclose a method of aseptically filling a container including inserting the plug in stages as defined in claims 5, 6 and 17. Therefore the subject matter of these claims is new and the claims meet the requirements of Article 33(2) PCT with regard to the requirement for novelty.

Claims 7-9 and 29-32:

None of the citations disclose an aseptic container or a method of aseptically filling a container including sealing the plug as defined in claims 7-9 and 29-32. Therefore the subject matter of these claims is new and the claims meet the requirements of Article 33(2) PCT with regard to the requirement for novelty.

Claims 34, 35, 38 and 39:

None of the citations disclose a plug and gland port including the features as defined in claims 5, 6 and 17. Therefore the subject matter of these claims is new and the claims meet the requirements of Article 33(2) PCT with regard to the requirement for novelty.

**INVENTIVE STEP (IS)**

Claims 1, 10, 16, 18-23, 25-28, 33, 36, 37, 40 see novelty comments above.

For claim 3 and claims 12-15, refer to D1 or D2 which differ from the claims in that the means to grip the sealing plug do not include jaws. For claims 7-9, refer to D1 which differ from the claims in that there is no sealing or welding of the plug. I consider that these differences constitute no more than mere workshop improvements. They are arrangements that any competent worker in the art would be expected to make and would be well within the general knowledge of any such appropriately skilled person. The person skilled in art would directly and without difficulty, by routine steps, arrive at a solution which is the same as the claimed invention, and therefore the claimed invention lacks an inventive step.

For claim 24, refer to D2 which shows bayonet gripping means within the plug rather than a projection from the plug as claimed. It is a simple technical equivalent for the male and female parts of the bayonet type coupling in the citation to be on either the ram or the plug and therefore the claimed invention lacks an inventive step in the light of the citation.

Claims 2, 4-6, 11, 17, 29-32, 34, 35, 38 and 39:

The invention as defined claims 2, 4-6, 11, 17, 29-32, 34, 35, 38 and 39 is not obvious in the light of any of the cited documents nor disclosed in any obvious combination, nor would the invention as so defined be obvious to a person skilled in the art in the light of common general knowledge by itself or in combination with any of these documents. Therefore the subject matter of these claims is not obvious and meets the requirements of Article 33(3) PCT with regard to the requirement for inventive step.